

# MODULI AND MODULAR GROUPS OF A CLASS OF CALABI-YAU $n$ -DIMENSIONAL MANIFOLDS, $n \geq 3$

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## 1. Introduction

Since the discovery of mirror symmetry in string theory by physicists, there have been tremendous activities on Calabi-Yau manifolds both by physicists and mathematicians. The reason that mirror symmetry has attracted a lot of mathematicians' attention is that it predicts successfully the number  $n_k$  of rational curves of degree  $k$  in these manifolds. This so-called Mirror Conjecture was first solved recently by Lian, Liu and Yau in their celebrated work [3]. In this paper we shall study the geometry of distinguished class of Calabi-Yau manifolds

$$(1.1) \quad X_s = \{(x_1 : \cdots : x_n) \in \mathbf{C}P^{n-1} : x_1^n + \cdots + x_n^n + sx_1x_2 \cdots x_n = 0\}.$$

For  $n = 5$ , this class of Calabi-Yau 3-manifolds were studied in detail by Candelas, Ossen, Green and Parkers [1] by means of the period map. In particular, they observed that the modular group is not  $SL(2, \mathbf{Z})$ .

It is the purpose of this paper to find out the moduli and the modular group of this one-parameter family of Calabi-Yau manifolds in (1.1) for all  $n \geq 5$ . Our argument is uniform for all  $n \geq 5$ . We remark that  $n = 3$  was treated by our previous paper [2] with different motivation. The crucial contribution of our paper is the introduction of some special points in Calabi-Yau manifolds.

Let  $\rho_i$ ,  $i = 1, 2, \dots, n$ , be  $n$ -distinct roots of  $x^n = -1$ . It is clear that the following  $N = \frac{1}{2}n^2(n-1)$  points  $Q_1, \dots, Q_N$  of the form

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$(0, \dots, 0, 1, 0, \dots, 0, \rho_i, 0, \dots, 0)$ , where  $1, \rho_i$  run over all possible 2-tuple positions of  $1, 2, \dots, n$ , are on each Calabi-Yau manifold  $X_s$ . We shall show in Proposition 2.1 that there are  $(n-2)$  independent hyperplanes through  $Q_i$  in  $T_{Q_i}(X_s)$ , the tangent plane of  $X_s$  at  $Q_i$ , for which all the lines passing through  $Q_i$  in these  $(n-2)$  independent hyperplanes have contact order  $n$  with  $X_s$  at  $Q_i$ .

**Definition 1.1.** A point  $Q$  in a  $(n-2)$ -dimensional Calabi-Yau manifold  $X$  is said to have  $C-Y$  property if there are  $(n-2)$  independent hyperplanes through  $Q$  in  $T_Q(X)$  for which all the lines passing through  $Q$  in these  $(n-2)$  independent hyperplanes have contact order at least  $n$  with  $X$  at  $Q$ . Such point  $Q$  is called a  $C-Y$  point in  $X$ .

**Theorem A.** For  $n \geq 5$ ,  $s \neq 0$  and  $s^n \neq (-n)^n$ , the  $C-Y$  points on the Calabi-Yau manifolds

$$X_s = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0\}$$

are precisely  $Q_1, \dots, Q_N$ ,  $N = \frac{1}{2}n^2(n-1)$ , of the form  $(0, \dots, 0, 1, 0, \dots, 0, \rho_i, 0, \dots, 0)$ , where  $1, \rho_i, 1 \leq i \leq n$ , run over all possible 2-tuple positions of  $1, 2, \dots, n$  and  $\rho_i, 1 \leq i \leq n$ , are the  $n$ -distinct roots of  $x^n = -1$ .

Using Theorem A, we can prove the following theorem.

**Theorem B.** For  $n \geq 5$ ,  $t \neq s$ ,  $s^n$  and  $t^n \neq 0$  and  $\neq (-n)^n$ , the group  $G$  of biholomorphisms between

$$X_t = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + tx_1 \dots x_n = 0\}$$

and

$$X_s = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0\}$$

consists of all projective nonsingular linear transformation  $B \in \text{PGL}(n, \mathbf{C})$  of the following form:

$$B = \begin{pmatrix} 0 & \dots & 0 & a_{1i_1} & 0 & \dots & 0 & 0 & \dots & 0 & 0 & 0 & \dots \\ 0 & \dots & 0 & 0 & 0 & \dots & 0 & a_{2i_2} & 0 & \dots & 0 & 0 & 0 & \dots \\ \cdot & \dots & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ 0 & \dots & 0 & 0 & 0 & \dots & 0 & 0 & 0 & \dots & 0 & a_{ni_n} & 0 & \dots \end{pmatrix}$$

where  $(i_1, \dots, i_n)$  is a permutation of  $(1, \dots, n)$  and  $a_{1i_1}, \dots, a_{ni_n}$  are  $n$ -th root of unity. Each such  $B$  induces a linear transformation on the

parameter space by sending  $t$  to  $ta_{1i_1} \dots a_{ni_n}$ . The group  $G$  has order  $n^{n-1}(n!)$ . Let  $N$  be the group of automorphisms of  $X_t$ . Then  $N$  is a normal subgroup of  $G$  of order  $n^{n-2}(n!)$ .

**Theorem C.** For  $n \geq 5$ , the modulus function of the one parameter family of Calabi-Yau manifolds

$$X_s = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0\}$$

is  $s^n$ , i.e. for any two parameters  $t, s$ ,  $X_t$  is biholomorphically equivalent to  $X_s$  if and only if  $t^n = s^n$ .

## 2. Special points on Calabi-Yau manifolds

Let  $X_s$  be the  $(n-2)$ -dimension hypersurface defined by  $x_1^n + \dots + x_n^n + sx_1x_2 \dots x_n = 0$  in  $\mathbf{CP}^{n-1}$ . It is easy to see that  $X_s$  is a non-singular manifold for  $s^n \neq (-n)^n$ . In fact, let

$$(2.1) \quad f(x_1, \dots, x_n) = x_1^n + \dots + x_n^n + sx_1x_2 \dots x_n.$$

Then  $X_s$  is nonsingular if and only if there is no common solution to the  $n$  equations

$$(2.2) \quad \frac{\partial f}{\partial x_i} = nx_i^{n-1} + sx_1 \dots x_{i-1}x_{i+1} \dots x_n = 0, \quad 1 \leq i \leq n$$

in  $\mathbf{CP}^{n-1}$ . These equations imply that

$$(2.3) \quad nx_1^n = nx_2^n = \dots = nx_n^n = -sx_1x_2 \dots x_n,$$

whence

$$(2.4) \quad (-n)^n \prod_{i=1}^n x_i^n = (s)^n \prod_{i=1}^n x_i^n.$$

If  $P = (p_1 : \dots : p_n) \in \mathbf{CP}^{n-1}$  is a common solution of equations (2.2), then none of the  $p_i$ 's may be zero by (2.3). Hence  $s^n = (-n)^n$ . Conversely it is easy to see that  $X_s$  is singular when  $s^n = (-n)^n$ .

**Proposition 2.1.** Let  $\rho_j, j = 1, 2, \dots, n$ , be  $n$  distinct roots of  $x^n = -1$ . For each  $s$  with  $s^n \neq (-n)^n$ , let  $Q_i$  be one of the  $N = n^2(n-1)/2$  points of the form  $(0, \dots, 0, 1, 0, \dots, 0, \rho_j, 0, \dots, 0)$  on the Calabi-Yau manifold  $X_s = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0\}$ .

Then  $Q_i$  is a  $C - Y$  point i.e., there are  $(n - 2)$  independent hyperplanes through  $Q_i$  in  $T_{Q_i}(X_s)$  for which all the lines passing through  $Q_i$  in these  $(n - 2)$  independent hyperplanes have contact order at least  $n$  with  $X_s$  at  $Q_i$ .

*Proof.* Without loss of generality, we only check that  $Q_1 = (1, \rho_1, 0, \dots, 0)$  is a  $C - Y$  point. It is clear that the tangent plane  $T_{Q_1}(X_s)$  of  $X_s$  at  $Q_1$  has equation

$$(2.5) \quad x_1 + \rho_1^{n-1}x_2 = 0.$$

Thus  $T_{Q_1}(X_s) \cap X_s$  is defined by the equations

$$(2.6) \quad \begin{cases} x_1 + \rho_1^{n-1}x_2 = 0 \\ x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0 \end{cases}$$

We can think of  $(T_{Q_1}(X_s)) \cap X_s$  as a hypersurface in  $\mathbf{P}(T_{Q_1}(X_s))$  with  $(x_2 : x_3 : \dots : x_n)$  as homogeneous coordinates. Its defining equation is

$$(2.7) \quad x_3^n + \dots + x_n^n - s\rho_1^{n-1}x_2^2x_3 \dots x_n = 0$$

Observe that  $x_2$  coordinate of  $Q_1$  is nonzero. Let  $x'_3 = \frac{x_3}{x_2}, \dots, x'_n = \frac{x_n}{x_2}$  be the inhomogeneous coordinates. Then the inhomogeneous form of the equation of  $(T_{Q_1}(X_s)) \cap X_s$  at  $Q_1$  is

$$(2.8) \quad (x'_3)^n + \dots + (x'_n)^n - s\rho_1^{n-1}x'_3 \dots x'_n = 0$$

It is clear that all lines tangent to  $X_s$  at  $Q_1$  are parameterized by  $\mathbf{P}(T_{Q_1}(X_s)) = \mathbf{CP}^{n-3}$ . Among all these lines we would like to find those lines with contact order to  $X_s$  at least  $n$ . We can write the equation of a line  $L$  as

$$(2.9) \quad \begin{cases} x'_3 = \alpha_3 t \\ \vdots \\ x'_n = \alpha_n t \end{cases}$$

where  $(\alpha_3 : \dots : \alpha_n) \in \mathbf{P}(T_{Q_1}(X_s)) = \mathbf{CP}^{n-3}$ . If the line  $L$  has contact order  $n$  with  $X_s$  at  $Q_1$ , the coefficients of  $t^k$  for  $k \leq n - 1$  have to be zero when (2.9) is substituted in (2.8). It is clear that  $L$  has contact order  $n$  with  $X_s$  at  $Q_1$  if and only if one of the  $\alpha_i$  has to be zero. This means that there are  $(n - 2)$  independent hyperplanes through  $Q_i$  in  $T_{Q_i}(X_s)$  for which all the lines passing through  $Q_i$  in these  $(n - 2)$  independent hyperplanes have contact order at least  $n$  with  $X_s$  at  $Q_i$ . q.e.d.

We shall show that all the  $C - Y$  points on  $X_s$  are exactly those  $N = n^2(n - 1)/2$  points listed in Proposition 2.1. For this purpose, we need to prove the following lemma.

**Lemma 2.2.** *Let  $Q = (q_1, \dots, q_n)$  be a  $C - Y$  point in the Calabi-Yau manifold*

$$X_s = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + s x_1 \dots x_n = 0\}.$$

Let  $f = x_1^n + \dots + x_n^n + s x_1 \dots x_n$  and  $\frac{\partial f}{\partial x_1}(Q) = b_1, \dots, \frac{\partial f}{\partial x_n}(Q) = b_n$ . Suppose  $b_1 = \frac{\partial f}{\partial x_1}(Q_1) \neq 0$  and  $q_2 \neq 0$ . Denote  $a_2 = \frac{b_2}{b_1}, \dots, a_n = \frac{b_n}{b_1}$ . Then all partial derivatives of  $f(-a_2 x_2 - \dots - a_n x_n, x_2, \dots, x_n)$  with respect to the variables  $x_3, \dots, x_n$  with order at most  $n - 3$  are zero at  $Q$ .

*Proof.* We first make a general observation. Let  $g(x_2, \dots, x_n)$  be a homogeneous polynomial of degree  $m$ . Let

$$g'(x'_3, \dots, x'_n) = g(1, x'_3, \dots, x'_n)$$

be a homogeneous form of  $g$  where  $x'_3 = \frac{x_3}{x_2}, \dots, x'_n = \frac{x_n}{x_2}$ . It is easy to see that

$$\frac{\partial^p g}{\partial x_{i_1}, \dots, \partial x_{i_p}} = (x_2)^{n-p} \frac{\partial^p g'}{\partial x'_{i_1} \dots \partial x'_{i_p}}, \quad i_1, \dots, i_p \in \{3, \dots, n\}.$$

Thus in order to prove the lemma, it is enough to prove the following statement: For the inhomogeneous form  $w(x'_3, \dots, x'_n)$  of  $f(-a_2 x_2 - \dots - a_n x_n, x_2, \dots, x_n)$ , where  $x'_3 = \frac{x_3}{x_2}, \dots, x'_n = \frac{x_n}{x_2}$ ,

$$(2.10) \quad \left. \frac{\partial^p w(x'_3, \dots, x'_n)}{\partial x'_{i_1} \dots \partial x'_{i_p}} \right|_Q = 0$$

for  $p \leq n - 3$  and  $i_1, \dots, i_p \in \{3, \dots, n\}$ .

Consider the inhomogeneous coordinate  $(q'_3, \dots, q'_n)$  of  $Q$  where  $q'_3 = \frac{q_3}{q_2}, \dots, q'_n = \frac{q_n}{q_2}$ . Let  $x''_3 = x'_3 - q'_3, \dots, x''_n = x'_n - q'_n$ . It is clear that (2.10) holds if and only if the following (2.11) holds

$$(2.11) \quad \left. \frac{\partial^p w(x''_3, \dots, x''_n)}{\partial x''_{i_1} \dots \partial x''_{i_p}} \right|_{(0, \dots, 0)} = 0$$

if  $p \leq n - 3, i_1, \dots, i_p \in \{3, \dots, n\}$ .

Notice that under the new coordinates  $(x_3'', \dots, x_n'')$ , the point  $Q$  is  $(0, \dots, 0)$ . Consider the  $(n-2)$  hyperplanes in  $T_Q(X_s)$  with the special property in the Definition 1.1. Let  $L_1, \dots, L_{n-2}$  be their defining equations. Then  $L_3, \dots, L_n$  are linearly independent 1-forms in  $x_3'', \dots, x_n''$  variables. Write

$$(2.12) \quad w(x_3'', \dots, x_n'') = w_{\geq n} + w_{\leq n-1},$$

where  $w_{\geq n}$  denotes the sum of monomials in  $w(x_3'', \dots, x_n'')$  with degrees at least  $n$  while  $w_{\leq n-1}$  denotes the sum of monomials in  $w(x_3'', \dots, x_n'')$  with degree at most  $n-1$ . We shall prove that  $w_{\leq n-1}$  can be divided by  $L_3, \dots, L_n$ .

Since  $L_3, \dots, L_n$  are linearly independent, we can take  $L_3, \dots, L_n$  as new coordinates. If  $w_{\leq n-1}$  is not divisible by  $L_3$ , then

$$w_{\leq n-1} = L_3 P + R,$$

where  $P$  is a polynomial in  $L_3, \dots, L_n$  and  $R$  is a polynomial in  $L_4, \dots, L_n$ . Let  $\alpha_4, \dots, \alpha_n$  be such that  $R(\alpha_4, \dots, \alpha_n) \neq 0$ . Consider the line  $L$

$$(2.13) \quad \begin{cases} L_3 = 0 \\ L_4 = \alpha_4 t \\ \vdots \\ L_n = \alpha_n t. \end{cases}$$

Then  $w_{\leq n-1}(0, \alpha_4 t, \dots, \alpha_n t)$  is a polynomial of  $t$  with degree less than or equal to  $n-1$ . Thus the line  $L$  cannot have contact order  $n$  with  $w=0$  at  $Q$ . This is a contradiction.

From the above argument, we have proved that  $w(x_3'', \dots, x_n'')$  as polynomials of  $L_3, \dots, L_n$ , contains only monomials with degree at least  $n-2$ . Since  $L_3, \dots, L_n$  are linear in  $x_3'', \dots, x_n''$  variables, we conclude that  $w(x_3'', \dots, x_n'')$  contains only monomials of  $x_3'', \dots, x_n''$  with degree at least  $n-2$ . Thus (2.11) is proved. q.e.d.

The following theorem is the key theorem of this paper.

**Theorem 2.3.** *For  $n \geq 5$ , the set  $\{Q_1, \dots, Q_N\}$  in Proposition 2.1 is precisely the set of all  $C-Y$  points in the Calabi-Yau manifold  $X_s = \{(x_1 : \dots, x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + s x_1 \dots x_n = 0\}$ ,  $s \neq 0$ .*

*Proof.* Let  $Q = (q_1, \dots, q_n)$  be a  $C-Y$  point on  $X_s$ . We need to show that  $Q \in \{Q_1, \dots, Q_N\}$ . We shall consider the local form of the equation of  $(T_Q(X_s)) \cap X_s$  at  $Q$ . Let  $f(x_1, \dots, x_n) = x_1^n + \dots +$

$x_n^n + sx_1 \dots x_n$  and  $b_1 = \frac{\partial f}{\partial x_1}(Q), \dots, b_n = \frac{\partial f}{\partial x_n}(Q)$ . Without loss of generality, we shall assume  $b_1 \neq 0$ . Let  $a_2 = \frac{b_2}{b_1}, \dots, a_n = \frac{b_n}{b_1}$ . The defining equation of  $(T_Q(X_s)) \cap X_s$  is

$$(2.14) \quad f(-a_2x_2 - \dots - a_nx_n, x_2, \dots, x_n) = 0$$

with homogeneous coordinates  $(x_2 : \dots : x_n)$  on  $\mathbf{P}(T_Q(X_s))$ . We assume also without loss of generality that  $q_2 \neq 0$ . In view of Lemma 2.2, we know that all 2<sup>nd</sup> order partial derivatives of  $f(-a_2x_2 - \dots - a_nx_n, x_2, \dots, x_n)$  with respect to  $x_i, x_j, i, j \in \{3, \dots, n\}$  at  $Q$  are zero because of  $n \geq 5$ . Hence, we have

$$(2.15) \quad \left. \frac{\partial^2 f(-a_2x_2 - \dots - a_nx_n, x_2, \dots, x_n)}{\partial x_i \partial x_j} \right|_Q = 0, \quad i, j \geq 3.$$

By chain rule, we get

$$(2.16) \quad a_i a_j \frac{\partial^2 f}{\partial x_1^2}(Q) - a_i \frac{\partial^2 f}{\partial x_1 \partial x_j}(Q) - a_j \frac{\partial^2 f}{\partial x_i \partial x_1}(Q) + \frac{\partial^2 f}{\partial x_i \partial x_j}(Q) = 0.$$

Multiplying (2.16) with  $b_1^2 = \left(\frac{\partial f}{\partial x_1}(Q)\right)^2 \neq 0$ , we get, for  $i, j \geq 3$ ,

$$(2.17) \quad \begin{aligned} b_i b_j \frac{\partial^2 f}{\partial x_1^2}(Q) &= b_1 b_i \frac{\partial^2 f}{\partial x_1 \partial x_j}(Q) + b_1 b_j \frac{\partial^2 f}{\partial x_i \partial x_1}(Q) \\ &\quad - b_1^2 \frac{\partial^2 f}{\partial x_i \partial x_j}(Q), \end{aligned}$$

which can be rewritten as, for  $i, j \geq 3$

$$(2.18) \quad \begin{aligned} n(n-1)q_1^{n-2}(nq_i^{n-1} + sq_1 \dots q_{i-1}q_{i+1} \dots q_n) \\ \cdot (nq_j^{n-1} + sq_1 \dots q_{j-1}q_{j+1} \dots q_n) \\ = sq_2 \dots q_{i-1}q_{i+1} \dots q_{j-1}q_{j+1} \dots q_n (nq_1^{n-1} + sq_2 \dots q_n) \\ \cdot (nq_i^n + nq_j^n - nq_1^n + sq_1 \dots q_n). \end{aligned}$$

Now we only need to prove that  $q_3 = \dots = q_n = 0$  because these will imply that  $Q \in \{Q_1, \dots, Q_N\}$ . There are two cases to be considered.

**Case 1.**  $q_3, \dots, q_n$  are nonzero. If  $q_1 = 0$  in this case, we have

$$(2.19) \quad nq_i^n + nq_j^n - nq_1^n + sq_1 \dots q_n = 0 \quad \forall i, j \geq 3$$

by (2.18). Thus  $q_i^n + q_j^n = 0$  for any  $i, j \geq 3$ . In particular, if we take  $i = j \geq 3$ , we get  $q_i^n = 0$  and hence  $q_i = 0$  for  $i \geq 3$ . This is a contradiction.

On the other hand if  $q_1 \neq 0$ , we shall consider (2.18) for  $i, j \geq 3$  and  $k, j \geq 3$ . By dividing these two equalities, we have

$$(2.20) \quad \frac{nq_i^n + sq_1 \dots q_n}{nq_k^n + sq_1 \dots q_n} = \frac{nq_i^n + sq_1 \dots q_n + nq_j^n - nq_1^n}{nq_k^n + sq_1 \dots q_n + nq_j^n - nq_1^n},$$

which implies

$$(2.21) \quad \frac{nq_i^n + sq_1 \dots q_n}{nq_k^n + sq_1 \dots q_n} = \frac{n(q_j^n - q_1^n)}{n(q_j^n - q_1^n)} = 1.$$

Hence we have  $x_i^n = x_k^n$  for  $i, k \geq 3$ . Similarly by exchanging the roles of the indices 2 and 3, (recall that  $q_3 \neq 0$  is assumed), we have  $q_2^n = q_3^n = \dots = q_n^n$ . If  $b_2 = \frac{\partial f}{\partial x_2}(Q) = nq_2^{n-1} + sq_1q_3 \dots q_n \neq 0$ , then by exchanging the roles of the indices of 1 and 2, (note  $q_1 \neq 0$ ), we have  $q_1^n = q_2^n = \dots = q_n^n$ . Since  $(q_1, \dots, q_n)$  satisfies the following equation

$$(2.22) \quad q_1^n + \dots + q_n^n + sq_1 \dots q_n = 0$$

we have  $q_2(nq_2^{n-1} + sq_1q_2 \dots q_n) = 0$ . This contradicts our assumption that  $b_2 = nq_2^{n-1} + sq_1q_3 \dots q_n \neq 0$ . If

$$b_2 = \frac{\partial f}{\partial x_2}(Q) = nq_2^{n-1} + sq_1q_3 \dots q_n = 0,$$

then  $nq_2^n + sq_1q_2 \dots q_n = 0$ . (2.22) implies  $q_1^n + (n-1)q_2^n + sq_1 \dots q_n = 0$ . By adding  $q_2^n$  in both sides of this equation, we get  $q_1^n = q_2^n$ . Thus  $q_1^n = \dots = q_n^n$ . (2.22) implies  $nq_i^n + sq_1 \dots q_n = 0$  for  $1 \leq i \leq n$ . This implies that  $Q$  is a singular point of  $X_s$ , a contradiction.

**Case 2.** At least one of  $q_3, \dots, q_n$  is equal to zero. Without loss of generality, we shall assume  $q_3 = 0$ . Since

$$b_1 = \frac{\partial f}{\partial x_1}(Q) = nq_1^n - sq_2 \dots q_n \neq 0$$

is assumed, we have  $q_1 \neq 0$ . Consider (2.18) for  $i = j \geq 4$ . The right-hand side of (2.18) becomes zero because of our assumption that at least one of  $q_3, \dots, q_n$  is equal to zero. It follows that  $nq_i^{n-1} + sq_1 \dots q_{i-1}q_{i+1} \dots q_n = 0$ ,  $4 \leq i \leq n$ . These  $n-3$  equations together



with the assumption that at least one of  $q_3, \dots, q_n$  is zero imply  $q_4 = q_5 = \dots = q_n = 0$ . If  $q_3$  is nonzero, then at least one of  $q_2, q_4, \dots, q_n$  is zero. By considering (2.18) with  $i = j = 3$ , we have

$$\begin{aligned} & n(n-1)q_1^{n-2}(nq_3^{n-1} + sq_1q_2q_4 \dots q_n)^2 \\ & = sq_2q_4 \dots q_n(nq_1^{n-1} + sq_2 \dots q_n)(2nq_3^n - nq_1^n + sq_1 \dots q_n) = 0, \end{aligned}$$

which implies  $q_3 = 0$ . Thus we have shown  $q_3 = q_4 = \dots = q_n = 0$  and  $Q$  has to be in  $\{Q_1, Q_2, \dots, Q_n\}$ . q.e.d.

### 3. Moduli and modular group of Calabi-Yau manifolds

We shall use Theorem 2.3 to study the moduli and modular group of Calabi-Yau manifolds.

**Theorem 3.1.** *For  $n \geq 5$  and any nonzero  $t \neq s$ , the biholomorphism between  $X_t = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + tx_1 \dots x_n = 0, t^n \neq (-n)^n\}$  and  $X_s = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0, s^n \neq (-n)^n\}$  is induced by a projective nonsingular linear transformation  $B \in PGL(n, \mathbf{C})$  on coordinates with only one nonzero entry in each row and each column. Moreover, these entries in  $B$  are  $n$ -th roots of unity. Conversely any matrix  $B$  of the above form will send  $X_t$  to  $X_s$  where  $s = tc_1c_2 \dots c_n$ , being  $c_1, \dots, c_n$  the nonzero entries of  $B$ .*

*Proof.* It is well known that any biholomorphism between  $X_t$  and  $X_s$  is induced by a projective nonsingular linear transformation  $B = (b_{ij})$ ,  $1 \leq i, j \leq n$ , in  $PGL(n, \mathbf{C})$ . For any  $C - Y$  point  $Q$  in  $X_t$ , it is clear that  $B(Q)$ , the image of  $Q$  under  $B$ , is also a  $C - Y$  point on  $X_s$ . In view of Theorem 2.3, we have  $\{B(Q_1), \dots, B(Q_N)\} = \{Q_1, \dots, Q_N\}$  where  $N = \frac{1}{2}n^2(n-1)$ .

We now consider the set of first coordinates of the points  $B(Q_1), \dots, B(Q_N)$ . This set consists of  $N = \frac{1}{2}n^2(n-1)$  elements of the form  $a_{1i} + \rho_m a_{1j}$ , with  $1 \leq i < j \leq n$ ,  $1 \leq m \leq n$ . We know that there are  $\frac{1}{2}n(n-1)(n-2)$  of  $N$  first coordinates of those points

$$\{B(Q_1), \dots, B(Q_N)\} = \{Q_1, \dots, Q_N\}$$

equal to zero. Hence there are  $\frac{1}{2}n(n-1)(n-2)$  of  $a_{1i} + \rho_m a_{1j}$ , with  $1 \leq i < j \leq n$ ,  $1 \leq m \leq n$ , equal to zero. Suppose that  $k$  of  $n$  numbers  $a_{11}, \dots, a_{1n}$  are zero. Notice that for nonzero complex numbers  $c$  and

$d$ , there is at most one zero among  $n$  complex numbers  $c + \rho_m d$ . We also note that if precisely only one of  $c, d$  is zero, then  $c + \rho_m d$  can never be zero for  $1 \leq m \leq n$ . Thus among  $N$  complex numbers  $a_{1i} + \rho_m a_{ij}$ ,  $1 \leq i < j \leq n$ ,  $1 \leq m \leq n$ , there are at most  $\frac{1}{2}nk(k-1) + \frac{1}{2}(n-k)(n-k-1)$  of them are zero. It follows that we have the following inequality

$$(3.1) \quad \frac{nk(k-1)}{2} + \frac{(n-k)(n-k-1)}{2} \geq \frac{n(n-1)(n-2)}{2}.$$

(3.1) implies  $k > 0$ . It follows that  $nk \geq n - k$  because  $k$  is a positive integer. Thus, in view of (3.1) we have

$$(3.2) \quad \begin{aligned} \frac{nk(n-2)}{2} &= \frac{nk(k-1)}{2} + \frac{nk(n-k-1)}{2} \\ &\geq \frac{nk(k-1)}{2} + \frac{(n-k)(n-k-1)}{2} \\ &\geq \frac{n(n-1)(n-2)}{2}. \end{aligned}$$

(3.3) implies  $k \geq n - 1$ . Since  $B$  is a nonsingular matrix, we have  $k = n - 1$ . Therefore we have proved that there is only one nonzero entry in the first row. Similarly, we can prove that there is only one nonzero entry in each row. Since  $B$  is nonsingular, there is only one nonzero entry in each column.

Let  $a_{1i_1}, a_{2i_2}, \dots, a_{ni_n}$  be the nonzero entries of the 1<sup>st</sup> row, 2<sup>nd</sup> row,  $\dots$ , and  $n^{\text{th}}$  row of the matrix  $B$  respectively. Consider the action of  $B$  on the point  $P = (0, \dots, 0, \rho_m, 0, \dots, 0, 1, 0, \dots, 0)$  where  $1 \leq m \leq n$ ,  $\rho_m$  is the  $i_1$ -coordinate of  $P$  while 1 is the  $i_2$ -coordinate of  $P$ . Clearly  $B(P) = (a_{1i_1}\rho_m, a_{2i_2}, 0, \dots, 0)$  is a  $C - Y$  point. In view of Theorem 2.3, we have  $\rho_m a_{1i_1}/a_{2i_2} \in \{\rho_1, \dots, \rho_n\}$ . This implies  $a_{1i_1}/a_{2i_2}$  is a  $n^{\text{th}}$  root of unity. Similarly we can show that all ratios between  $a_{1i_1}, a_{2i_2}, \dots, a_{ni_n}$  are  $n^{\text{th}}$  root of unity. The first part of Theorem 3.1 follows immediately.

Conversely, suppose that  $B$  is a nonsingular matrix given by

$$B : (x_1, x_2, \dots, x_n) \mapsto (a_{1i_1}x_{i_1}, a_{2i_2}x_{i_2}, \dots, a_{ni_n}x_{i_n}),$$

where  $a_{1i_1}, a_{2i_2}, \dots, a_{ni_n}$  are  $n^{\text{th}}$  roots of unity and  $(i_1, i_2, \dots, i_n)$  is a permutation of  $(1, 2, \dots, n)$ . Then clearly  $X_t : x_1^n + \dots + x_n^n + tx_1 \dots x_n = 0$  is sent to  $X_s : x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0$  where  $s = ta_{1i_1} \dots a_{ni_n}$ .  
q.e.d.

**Corollary 3.2.** For  $n \geq 5$ ,  $t \neq s$ ,  $s^n$  and  $t^n \neq 0$  and  $(-n)^n$ , the group  $G$  of biholomorphisms between  $X_t = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} :$

$x_1^n + \dots + x_n^n + tx_1 \dots x_n = 0$  and  $X_s = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0\}$  consists of all projective nonsingular linear transformation  $B \in PGL(n, G)$  of the following form:

$$B = \begin{pmatrix} 0 & \dots & 0 & a_{1i_1} & 0 & \dots & 0 & 0 & 0 & \dots & 0 & 0 & 0 & \dots \\ 0 & \dots & 0 & 0 & 0 & \dots & 0 & a_{2i_2} & 0 & \dots & 0 & 0 & 0 & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & 0 & 0 & 0 & \dots & 0 & 0 & 0 & \dots & 0 & a_{ni_n} & 0 & \dots \end{pmatrix},$$

where  $(i_1, \dots, i_n)$  is a permutation of  $(1, \dots, n)$  and  $a_{1i_1}, \dots, a_{ni_n}$  are  $n^{\text{th}}$  root of unity. Each such  $B$  induces a linear transformation on the parameter space by sending  $t$  to  $ta_{1i_1} \dots a_{ni_n}$ . The group  $G$  has order  $n^{n-1}(n!)$ . Let  $N$  be the group of automorphisms of  $X_t$ . Then  $N$  is a normal subgroup of  $G$  of order  $n^{n-2}(n!)$ .

*Proof.* To compute the order of  $G$ , consider the first row of  $B$ . We can pick any number from 1 to  $n$  as  $i_1$  and we can assign  $a_{1i_1}$  to be any number in the set of  $n^{\text{th}}$  roots of unity. So we have  $n^2$  choices. In the second row, we can pick  $i_2$  to be any number from 1 to  $n$  except  $i_1$  and assign  $a_{2i_2}$  to be any number in the set of  $n^{\text{th}}$  roots of unity. So we have  $n(n-1)$  choices. By continuing this argument, we see that there are  $(n!)n^n$  elements. By dividing the scalar multiplications, we conclude that the order of the group  $G$  is  $(n!)n^{n-1}$ .

To compute the order of the automorphism group  $N$  of  $X_t$ , we observe that  $B \in N$  if and only if  $a_{1i_1}a_{2i_2} \dots a_{ni_n} = 1$ . Thus the order of  $N$  is  $(n!)n^{n-2}$ . q.e.d.

**Theorem 3.3.** *For  $n \geq 5$ , the modulus function of the one parameter family of Calabi-Yau manifolds  $X_s = \{(x_1 : \dots : x_n) \in \mathbf{CP}^{n-1} : x_1^n + \dots + x_n^n + sx_1 \dots x_n = 0\}$  is  $s^n$ , i.e., for any two parameters  $t, s$ ,  $X_t$  is biholomorphically equivalent to  $X_s$  if and only if  $t^n = s^n$ .*

*Proof.* It is easy to see that  $X_t$  is biholomorphically equivalent to  $X_{tr}$  for any  $n^{\text{th}}$  root of unity  $r$ . Conversely, we know that if  $X_t$  is biholomorphically equivalent to  $X_s$ , then  $s = tr$  for some  $n^{\text{th}}$  root of unity  $r$  in view of Corollary 3.2. Hence the modulus function of the one-parameter family of Calabi-Yau manifold  $X_s$  is  $s^n$ . q.e.d.

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