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# Sharp polynomial estimate of integral points in right-angled simplices

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## ABSTRACT

Characterization of homogeneous polynomials with isolated critical point at the origin follows from a study of complex geometry. Yau previously proposed a Numerical Characterization Conjecture. A step forward in solving this conjecture, the Granville–Lin–Yau Conjecture was formulated, with a sharp estimate that counts the number of positive integral points in  $n$ -dimensional ( $n \geq 3$ ) real right-angled simplices with vertices whose distances to the origin are at least  $n - 1$ . The estimate was proven for  $n \leq 6$  but has a counterexample for  $n = 7$ . In this project we come up with an idea of forming a New Sharp Estimate Conjecture where we need the distances of the vertices to be  $n$ . We have proved this New Sharp Estimate Conjecture for  $n \leq 9$ .

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## 1. Introduction

Let  $\Delta(a_1, a_2, \dots, a_n)$  be an  $n$ -dimensional simplex described by

$$\frac{x_1}{a_1} + \frac{x_2}{a_2} + \dots + \frac{x_n}{a_n} \leq 1, \quad x_1, x_2, \dots, x_n \geq 0 \quad (1.1)$$

where  $a_1 \geq a_2 \geq \dots \geq a_n \geq 1$  are positive real numbers. Let  $P_{(a_1, a_2, \dots, a_n)}$  be defined as the number of positive integral solutions of (1.1) and  $Q_{(a_1, a_2, \dots, a_n)}$  be defined as the number of nonnegative integral solutions of (1.1). It is known that the studies of  $P_{(a_1, a_2, \dots, a_n)}$  and  $Q_{(a_1, a_2, \dots, a_n)}$  are equivalent. If we let  $a = \frac{1}{a_1} + \frac{1}{a_2} + \dots + \frac{1}{a_n}$ , the relation is given by the following formulas:

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$$Q_{(a_1, a_2, \dots, a_n)} = P_{(a_1(1+a), a_2(1+a), \dots, a_n(1+a))}, \tag{1.2}$$

$$P_{(a_1, a_2, \dots, a_n)} = Q_{(a_1(1-a), a_2(1-a), \dots, a_n(1-a))}. \tag{1.3}$$

The computation of  $Q_{(a_1, a_2, \dots, a_n)}$  has generated interest among leading mathematicians for decades. Hardy and Littlewood wrote several papers that have applications to problems of Diophantine approximation [8–10]. The effort was carried on by D.C. Spencer who subsequently wrote on the problem of estimating  $Q_{(a_1, a_2, \dots, a_n)}$  [22,23]. The general problem of counting  $P_{(a_1, a_2, \dots, a_n)}$  and  $Q_{(a_1, a_2, \dots, a_n)}$  where  $a_1, a_2, \dots, a_n$  are positive integers continues to be a challenge in recent years, and tremendous research is being put into developing an exact formula (see [4,3,5,11]). Mordell gave a formula for  $Q_{(a_1, a_2, a_3)}$ , expressed in terms of three Dedekind sums, in the case that  $a_1, a_2,$  and  $a_3$  are pairwise relatively prime [18]. Pommersheim extended the formula for  $Q_{(a_1, a_2, a_3)}$  to arbitrary  $a_1, a_2,$  and  $a_3$  using toric varieties [21].

The earliest results to approximate  $P_{(a_1, a_2, \dots, a_n)}$  or  $Q_{(a_1, a_2, \dots, a_n)}$  were asymptotic in nature. Because of this, they are short of practical applications in number theory and geometry. Recent efforts are also restricted in application as they are limited to integral simplices. Furthermore, the involvement of generalized Dedekind sums or other complicated terms [1] makes it difficult to determine the order of magnitude of  $P_{(a_1, a_2, \dots, a_n)}$ .

Although we do not know if any such formula exists, ideally  $P_{(a_1, a_2, \dots, a_n)}$  could be counted in terms of a polynomial in  $a_1, a_2, \dots, a_n$ , where  $a_1, a_2, \dots, a_n$  are not limited to integers, but can be any positive real numbers. However for the applications in number theory and singularity theory, a relatively sharp upper estimate should be sufficient. The research of lattice points in simplices is currently a very active area. An excellent article relating to lattice points in rational tetrahedra was written by Barvinok and Pommersheim [2]. For more information, please refer to the collection “Integer Points in Polyhedra – Geometry, Number Theory, Algebra, Optimization”, a Snowbird Conference Proceedings published by Amer. Math. Soc. (Contemp. Math., vol. 374, 2005).

An upper polynomial estimate of  $P_{(a_1, a_2, \dots, a_n)}$  would have many applications. According to Granville [7], it is a key topic in number theory. Such an estimate could be applied to finding large gaps between primes, to Waring’s problem, to primality testing and factoring algorithms, and to bounds for the least prime  $k$ th power residues and non-residues (mod  $n$ ). Given a set  $P$  of primes  $p_1 < p_2 < \dots < p_n < y$ , number theorists are interested in counting the number of integers  $m \leq y^u$  where  $m = p_1^{l_1} p_2^{l_2} \dots p_n^{l_n}$  for all  $u \geq 2$ . This is equivalent to counting the number of  $(l_1, l_2, \dots, l_n) \in \mathbb{Z}_{\geq 0}^n$  such that  $l_1 p_1 + l_2 p_2 + \dots + l_n p_n \leq \log y^u$ , which is also equivalent to counting the number of  $(l_1, l_2, \dots, l_n) \in \mathbb{Z}_{\geq 0}^n$  such that

$$\frac{l_1}{a_1} + \frac{l_2}{a_2} + \dots + \frac{l_n}{a_n} \leq 1, \quad \text{where } a_i = \frac{\log y^u}{\log p_i}. \tag{1.4}$$

Observe that the  $a_i$ ’s are not integral in general. Please see Carl Pomerance’s ICM 1994 lecture at Zürich [20] and his lecture notes [19] for more information about applications of  $P_{(a_1, a_2, \dots, a_n)}$  and  $Q_{(a_1, a_2, \dots, a_n)}$ .

The current method for counting  $P_{(a_1, a_2, \dots, a_n)}$  is the polynomial estimate (1.6) provided by number theorists. Attach a unit cube to the right of and above each lattice point of  $\Delta(a_1, a_2, \dots, a_n)$ . Then

$$\begin{aligned} Q_{(a_1, a_2, \dots, a_n)} &\leq \sum \text{volume of the unit cube attached to each lattice point} \\ &\leq \text{volume of } (x_1, x_2, \dots, x_n) \in \mathbb{R}_+^n : \sum_{i=1}^n \frac{x_i - 1}{a_i} \leq 1 \\ &= \frac{1}{n!} (a_1 a_2 \dots a_n) \left( \sum_{i=1}^n \frac{1}{a_i} \right)^n. \end{aligned} \tag{1.5}$$

In view of (1.2), (1.5) can be rewritten as

$$P_{(a_1, a_2, \dots, a_n)} \leq \frac{1}{n!} a_1 a_2 \cdots a_n. \tag{1.6}$$

The estimate of  $P_{(a_1, a_2, \dots, a_n)}$  given by (1.6) is interesting. However, it is not strong enough to be useful, particularly when many of the  $a_i$ 's are small [7].

In geometry and singularity theory, estimating  $P_n$  for real right-angled simplices is connected with the Durfee Conjecture. Let  $f : (\mathbb{C}^n, 0) \rightarrow (\mathbb{C}, 0)$  be a germ of a complex analytic function with an isolated critical point at the origin. Let  $V = \{(z_1, \dots, z_n) \in \mathbb{C}^n : f(z_1, \dots, z_n) = 0\}$ . The Milnor number of the singularity  $(V, 0)$  is defined as

$$\mu = \dim \mathbb{C}\{z_1, \dots, z_n\} / (f_{z_1}, \dots, f_{z_n}),$$

the geometric genus  $p_g$  of  $(V, 0)$  is defined as

$$p_g = \dim H^{n-2}(M, \mathcal{O}),$$

where  $M$  is a resolution of  $V$  and  $\mathcal{O}$  is the sheaf of germs of holomorphic functions on  $M$ . In 1978, Durfee [6] made the following conjecture:

**Durfee Conjecture.**  $n!p_g \leq \mu$  with equality only when  $\mu = 0$ . If  $f(z_1, \dots, z_n)$  is a weighted homogeneous polynomial of type  $(a_1, a_2, \dots, a_n)$  with an isolated singularity at the origin, Milnor and Orlik [17] proved that  $\mu = (a_1 - 1) \cdots (a_n - 1)$ . On the other hand, Merle and Teissier [16] showed that  $p_g = P_n$ , where  $P_n$  is the number of positive integral solutions of (1.1). Finding an estimate of  $P_n$  eventually led to a resolution of the Durfee Conjecture [28].

Starting from early 90's, Yau, Xu and Lin [14,25,27] tried to get sharp upper estimates of  $P_n$  where  $a_i$  are just positive real numbers. They were able to obtain it under certain conditions, specifically when  $n = 3, 4$ , and  $5$ . Surprisingly enough, these sharp estimates are all polynomials of  $a_i$ :

$$\begin{aligned} 3!P_3 &\leq f_3 = a_1 a_2 a_3 - (a_1 a_2 + a_1 a_3 + a_2 a_3) + a_1 + a_2, \\ 4!P_4 &\leq f_4 = a_1 a_2 a_3 a_4 - \frac{3}{2}(a_1 a_2 a_3 + a_1 a_2 a_4 + a_1 a_3 a_4 + a_2 a_3 a_4) \\ &\quad + \frac{11}{3}(a_1 a_2 + a_1 a_3 + a_2 a_3) - 2(a_1 + a_2), \\ 5!P_5 &\leq f_5 = a_1 a_2 a_3 a_4 a_5 - 2(a_1 a_2 a_3 a_4 + a_1 a_2 a_3 a_5 + a_1 a_2 a_4 a_5 + a_1 a_3 a_4 a_5 + a_2 a_3 a_4 a_5) \\ &\quad + 354(a_1 a_2 a_3 + a_1 a_2 a_4 + a_1 a_3 a_4 + a_2 a_3 a_4) \\ &\quad - \frac{50}{6}(a_1 a_2 + a_1 a_3 + a_1 a_4 + a_2 a_3 + a_2 a_4 + a_2 a_5) + 6(a_1 + a_2 + a_3 + a_4). \end{aligned}$$

These estimates are considered sharp because the equality holds true if and only if all  $a_i$  take the same integer. Inspired by the similarity of these estimates, the general form of the upper estimate was conjectured.

**Granville–Lin–Yau (GLY) Conjecture.** Let  $P_n =$  number of element of set  $\{(x_1, x_2, \dots, x_n) \in \mathbf{Z}_+^n : \frac{x_1}{a_1} + \frac{x_2}{a_2} + \dots + \frac{x_n}{a_n} \leq 1\}$ . Let  $n \geq 3$ .

(1) *Sharp Estimate*: If  $a_1 \geq a_2 \geq \dots \geq a_n \geq n - 1$ , then

$$n!P_n \leq f_n := A_0^n + \frac{s(n, n-1)}{n} A_1^n + \sum_{l=1}^{n-2} \frac{s(n, n-1-l)}{\binom{n-1}{l}} A_l^{n-1}, \tag{1.7}$$

$s(n, k)$  is the Stirling number of the first kind defined by (2.2) and  $A_k^n$  be defined as in (2.1). Equality holds if and only if  $a_1 = a_2 = \dots = a_n = \text{integer}$ .

(2) *Rough Estimate*: If  $a_1 \geq a_2 \geq \dots \geq a_n > 1$ ,

$$n!P_n < q_n := \prod_{i=1}^n (a_i - 1). \tag{1.8}$$

The rough estimate in (1.8) has recently been proven true by Yau and Zhang [28]. When  $n = 3, 4$ , and  $5$ , this conjecture is true [13,14,25,27]. The Sharp Estimate Conjecture was first formulated in [15]. In private communication to Yau, Granville formulated this sharp estimated conjecture independently after reading [13].

The importance of this Upper Estimate Conjecture is twofold. First the Durfee Conjecture in singularity theory becomes a special case. And second, more importantly, it is the first main step to prove the following conjecture made by Yau in 1995:

**Conjecture 1.** Let  $f : (\mathbb{C}^{n+1}, 0) \rightarrow (\mathbb{C}, 0)$  be a germ of a weighted homogeneous polynomial with isolated critical points at the origin. Let  $\mu, p_g$  and  $v$  be respectively the Milnor number, geometric genus and multiplicity of the singularity  $V = \{z \in \mathbb{C}^{n+1} : f(z) = 0\}$ . Then  $\mu - h(v) \geq (n + 1)!p_g$  where  $h(v) = (v - 1)^{n+1} - v(v - 1) \dots (v - n)$ , and the equality holds if and only if  $f$  is a homogeneous polynomial.

The above conjecture was proven for the case  $n = 3$  in [26] and for the case  $n = 4$  in [12]. It leads to the following numerical characterization of an affine variety in  $\mathbb{C}^{n+1}$  as a cone over nonsingular projective variety  $\mathbb{C}P^n$ .

**Conjecture 2.** Let  $V$  be an affine hyperspace in  $\mathbb{C}^{n+1}$ . Then  $V$  is a cone over nonsingular hypersurface in  $\mathbb{C}P^n$  if and only if  $V$  has only isolated singularity at the origin,  $\mu = \tau$  and  $\mu - (v - 1)^{n+1} + v(v - 1) \dots (v - n) = (n + 1)!p_g$ , where  $\tau = \dim \mathbb{C}\{z_1, \dots, z_{n+1}\}(f, f_{z_1}, \dots, f_{z_{n+1}})$ .

The GLY Conjecture has been proved individually for  $n = 3, 4, 5$  and generally for  $n \leq 6$ . However, for the case  $n = 7$ , a counterexample to the conjecture has been given by [24].

**Counterexample to GLY Conjecture for  $n = 7$ .** Let  $a_1 = a_2 = a_3 = a_4 = a_5 = a_6 = 2000$  and  $a_7 = 6.09$ . Consider the following 7-dimensional tetrahedron:  $x_i > 0, 1 \leq i \leq 7$ .

$$\frac{x_1}{2000} + \frac{x_2}{2000} + \frac{x_3}{2000} + \frac{x_4}{2000} + \frac{x_5}{2000} + \frac{x_6}{2000} + \frac{x_7}{6.09} \leq 1,$$

$P_7$  has been computed to be  $0.39656226290532420 \times 10^{17}$ .

Now we compute the sharp estimate  $f_7$  when  $a_1 = a_2 = a_3 = a_4 = a_5 = a_6 = 2000$  and  $a_7 = 6.09$ .

$$\begin{aligned} f_7 &= A_0^7 + A_1^7 \frac{s(7, 6)}{7} + \sum_{l=1}^5 A_l^6 \frac{s(7, 6-l)}{\binom{6}{l}} \\ &= 0.199840413 \times 10^{21}. \end{aligned} \tag{1.9}$$

So we have

$$f_7 - 7!P_7 = -0.269675 \times 10^{17}.$$

This shows that the sharp estimate of GLY Conjecture fails in the case  $n = 7$ . After discovering this counterexample, Wang and Yau modified the GLY Conjecture.

**Modified GLY Conjecture.** *There exists an integer  $\alpha$  which depends only on  $n$  such that the sharp estimate (1.7) holds when  $a_1 \geq a_2 \geq \dots \geq a_n \geq \alpha$ .*

In order to get the estimate of  $P_n$  for the general  $n$ , Wang and Yau [24] drew upon ideas from  $n = 4, 5$  and proposed a uniform method of partitioning the  $n$ -dimensional right-angled simplex into several  $(n - 1)$ -dimensional right-angled simplices. Since the conjecture is true for  $n = 3$ , the proof of the general theorem would follow inductively. However, since  $\alpha$  is not known, when induction is applied to prove the Sharp Estimate Conjecture by dissecting the  $n$ -dimensional right-angled simplex along the  $x_n$ -axis into  $(n - 1)$ -dimensional right-angled simplices, we cannot apply the lower-dimensional Sharp Estimate Conjecture.

In our new conjecture, we modify (1.7) to give a larger estimate. We decrease what is subtracted in the second term to give a new estimate as follows:

$$Y_7 := A_0^7 - \frac{7}{2}(a_7)A_1^6 + \sum_{l=1}^5 \frac{s(7, 6-l)}{\binom{6}{l}} A_l^6. \tag{1.10}$$

(1.10) is very similar to (1.7) for  $n = 7$  because only the second term is changed. It is also considered sharp because the homogeneous case is not affected by the change. With this modification  $Y_7 \leq 7!P_7$  can be proven for  $a_7 \geq 7$ . Furthermore, the counterexample is no longer a counterexample to our estimate. Extending this from  $n = 7$  to the general  $n$ , we get:

**New Sharp Estimate Conjecture.** *Let  $P_n =$  number of element of set  $\{(x_1, x_2, \dots, x_n) \in \mathbf{Z}_+^n; \frac{x_1}{a_1} + \frac{x_2}{a_2} + \dots + \frac{x_n}{a_n} \leq 1\}$ . Let  $n \geq 3$ . If  $a_1 \geq a_2 \geq \dots \geq a_n \geq n$ , then*

$$n!P_n \leq Y_n := A_0^n - \frac{n}{2}(a_n)A_1^{n-1} + \sum_{l=1}^{n-2} \frac{s(n, n-1-l)}{\binom{n-1}{l}} A_l^{n-1}. \tag{1.11}$$

*Equality holds if and only if  $a_1 = a_2 = \dots = a_n =$  integer.*

The above conjecture is sharp enough for application to Conjecture 1. Here, we only need the distances of the vertices to the origin to be at least  $n$ . In our new conjecture, we modify (1.7) to give a larger estimate. We decrease what is subtracted in the second term to give a new estimate as follows:

**Main Theorem.** *Let  $P_n =$  number of element of set  $\{(x_1, x_2, \dots, x_n) \in \mathbf{Z}_+^n; \frac{x_1}{a_1} + \frac{x_2}{a_2} + \dots + \frac{x_n}{a_n} \leq 1\}$ . Let  $9 \geq n \geq 3$ . If  $a_1 \geq a_2 \geq \dots \geq a_n \geq n$ , then*

$$n!P_n \leq Y_n := A_0^n - \frac{n}{2}(a_n)A_1^{n-1} + \sum_{l=1}^{n-2} \frac{s(n, n-1-l)}{\binom{n-1}{l}} A_l^{n-1}. \tag{1.12}$$

*Equality holds if and only if  $a_1 = a_2 = \dots = a_n =$  integer.*

The above conjecture given by  $Y_n$  can be easily proved for  $n = 3, 4, 5, 6$  by a direct comparison with  $f_n$  because it can be proven for all  $n$  that  $Y_n \geq f_n$ . We have also proved this new conjecture for  $n \leq 9$  and are in the process of proving the general  $n$  case.

Our estimate is sharp enough for application because the homogeneous case is not affected by the change. Furthermore, the counterexample given for the GLY Conjecture no longer gives a counterexample for our estimate. Here, we only need the distances of the vertices to the origin to be at least  $n$ .

Our paper is organized as follows. In Section 2 we give definitions of notations to be used. In Section 3 we outline the strategy of the proof of our Main Theorem. In Section 4 we give the statement of five lemmas. The proofs of the first two lemmas can be found in [24]. The complete proofs of the other lemmas can be found in Appendix A. In Section 5, we give a detailed proof of our Main Theorem for  $n = 7$ .

**2. Notation**

**Notation 1** (Polynomial of  $a_i$ :  $A_k^n$ ).

$$A_k^n = \left( \prod_{i=1}^n a_i \right) \left( \sum_{1 \leq i_1 \leq i_2 \leq \dots \leq i_k \leq n} \frac{1}{a_{i_1} a_{i_2} \dots a_{i_k}} \right). \tag{2.1}$$

Defined recursively we have  $A_k^n = a_n A_k^{n-1} + A_{k-1}^{n-1}$ .

**Notation 2** ( $s(n, k)$ ).  $s(n, k)$  is the Stirling number of the first kind defined by the generating function

$$x(x-1) \dots (x-n+1) = \sum_{k=0}^n s(n, k) x^k. \tag{2.2}$$

**Notation 3** (Bernoulli number and polynomial). We will also use Bernoulli number  $B_k$ , which is defined by

$$\frac{x}{e^x - 1} = \sum_{n=0}^{\infty} \frac{B_n x^n}{n!}$$

and has a recursive formula

$$B_k = \sum_{i=0}^k \binom{k}{i} B_i, \quad \text{with } B_0 = 1, B_1 = -\frac{1}{2}, B_2 = \frac{1}{6}.$$

The most important property of the Bernoulli number is

$$B_{2k+1} = 0, \quad \text{for } k \geq 1. \tag{2.3}$$

Bernoulli polynomial  $B_k[x]$  is defined as  $B_k[x] = \sum_{i=0}^k \binom{k}{i} B_i x^{k-i}$ .

**3. Sharp estimate analysis**

Since the conjecture has been proven true for case  $n = 6$ , for  $a_6 \geq 5$ , we can use induction to prove  $n = 7$ . Our proofs for  $n = 8$  and  $n = 9$  are similar. The basic approach is to partition the  $n$ th tetrahedron into several lower dimension tetrahedra [24]. We have

$$\frac{x_1}{a_1} + \frac{x_2}{a_2} + \dots + \frac{k}{a_7} \leq 1, \tag{3.1}$$

$$\frac{x_1}{a_1(1 - \frac{k}{a_7})} + \frac{x_2}{a_2(1 - \frac{k}{a_7})} + \dots + \frac{x_6}{a_6(1 - \frac{k}{a_7})} \leq 1. \tag{3.2}$$

Let  $P_6(k)$  be the number of positive integral solutions. Then

$$P_7 = \sum_{k=1}^{[a_7]} P_6(k). \tag{3.3}$$

Assume  $a_6(1 - \frac{k}{a_7}) \geq 5$  for all  $1 \leq k \leq [a_7]$ . We have sharp estimate  $Y_6(k)$ :

$$6!P_6(k) \leq Y_6(k) = A_0^6 \left(1 - \frac{k}{a_7}\right)^6 - \frac{6}{2} A_1^5 a_6 \left(1 - \frac{k}{a_7}\right)^5 + \sum_{l=1}^4 \frac{s(6, 5-l)}{\binom{5}{l}} A_l^5 \left(1 - \frac{k}{a_6}\right)^{5-l}.$$

By (3.3), we have

$$7!P_7 = 7 \sum_{k=1}^{[a_7]} (6!)P_6(k) \leq 7 \sum_{k=1}^{[a_7]} Y_{(6)}(k).$$

In order to prove  $7!P_7 \leq Y_7$ , it is sufficient to prove

$$a_7^6 Y_7 - 7 \sum_{k=1}^{[a_7]} a_7^6 Y_6(k) \geq 0. \tag{3.4}$$

The difficulties arise when  $a_6(1 - \frac{k}{a_7}) \geq 5$ . However, if  $k = m'$  satisfy the conditions, then all  $1 \leq k < m'$  must satisfy this condition. So we can sum up  $k$  from 1 to  $m'$ .

The left hand side of (3.4) is a polynomial of  $a_1, a_2, \dots, a_7$ . It is a difficult and lengthy computation to work out this polynomial manually so we use Python for our computations. To check the sign of the polynomial we use Lemmas 1 and 2 from [24]. To simplify the polynomial, we develop Lemmas 3, 4 and 5 (the proofs in Appendix A) so that the limits of the summation are determined by degree of polynomial, summation symbols in one term are minimized, and the polynomial is organized by degree.

#### 4. Five lemmas

**Lemma 1** (Coefficient criteria). (See [24].) Let  $f(\beta)$  be a polynomial defined by

$$f(\beta) = \sum_{i=0}^n c_i \beta^i, \quad \text{where } \beta \in (0, 1).$$

If for any  $k = 0, 1, \dots, n$

$$\sum_{i=0}^k c_i \geq 0,$$

then  $f(\beta) \geq 0$  for  $\beta \in (0, 1)$ . If “ $\geq$ ” is replaced by “ $>$ ”, the lemma is still true.

**Lemma 2** (Initial value criteria). (See [24].) For  $i \leq i_1 \leq i_2 \leq \dots \leq i_k \leq n - 1$ ,  $k = 1, 2, \dots, n - 1$ , we use the following notation:

$$f^{(k)}(i_1, i_2, \dots, i_k) = \frac{\partial^k f}{\partial a_{i_1} \partial a_{i_2} \dots \partial a_{i_k}}.$$

Let  $f(a_1, a_2, \dots, a_n, \beta)$  be a polynomial of  $a_i$ ,  $1 \leq i \leq n$  and  $\beta$ , where the degree of variable  $a_i$ ,  $i = 1, 2, \dots, n - 1$  is 1, and  $\beta \in (0, 1)$ . If

- (1)  $f(a_n, a_n, \dots, a_n, \beta) \geq 0$ , for  $a_n \geq \alpha$  and  $\beta \in (0, 1)$ ,
- (2)  $f^{(k)}(i_1, i_2, \dots, i_k)|_{(a_n, a_n, \dots, a_n, \beta)} \geq 0$ , for  $a_n \geq \alpha$  and  $\beta \in (0, 1)$ , and for all  $1 \leq i_1 \leq i_2 \leq \dots \leq i_k \leq n - 1$ ,  $k = 1, 2, \dots, n - 1$ ,

then  $f(a_1, a_2, \dots, a_n, \beta) \geq 0$  for  $a_1 \geq a_2 \geq \dots \geq a_n \geq \alpha$  and  $\beta \in (0, 1)$ .

If “ $\geq$ ” in  $f(a_1, a_2, \dots, a_n, \beta) \geq 0$  of condition (1) and  $f^{(k)}(i_1, i_2, \dots, i_k)|_{(a_n, a_n, \dots, a_n, \beta)} \geq 0$  of condition (2) are replaced by “ $>$ ”, then  $f(a_1, a_2, \dots, a_n, \beta) > 0$ .

**Corollary 1.** For  $1 \leq t \leq n$ , let  $f(a, a_{t+1}, \dots, a_n, \beta)$  be a polynomial of  $a, a_i$ ,  $t + 1 \leq i \leq n$  and  $\beta$ , where the degree of variable  $a$  is  $t$  and the degree of  $a_i$ ,  $i = t + 1, \dots, n - 1$  is 1 and  $\beta \in (0, 1)$ . If

- (1)  $f(a_n, a_n, \dots, a_n, \beta) \geq 0$ , for  $a_n \geq \alpha$  and  $\beta \in (0, 1)$ ,
- (2)  $\frac{\partial^s f}{\partial a^s}|_{(a_n, a_n, \dots, a_n, \beta)} \geq 0$  for  $1 \leq s \leq t$  and  $a_n \geq \alpha$  and  $\beta \in (0, 1)$ ,
- (3)  $1 \leq s \leq t$  and  $1 \leq k \leq n - 1 - t$  where  $t + 1 \leq i_{t+1} \leq i_{t+2} \leq \dots \leq i_{t+k} \leq n - 1$ ,

then  $f(a, a_{t+1}, \dots, a_n, \beta) \geq 0$  for  $a \geq a_{t+1} \geq \dots \geq a_n \geq \alpha$  and  $\beta \in (0, 1)$ .

When  $t = 1$ , this corollary is the same as Lemma 2. When  $t = n$ , condition (3) is not needed.

**Lemma 3.** Let

$$G(m') = \sum_{k=1}^{m'} Y_{n-1}(k). \tag{4.1}$$

Then  $G(m')$  can be expressed by a summation with limits determined by  $n$  alone

$$\begin{aligned} G(m') &= \frac{1}{n} A_0^{n-1} \sum_{i=0}^{n-2} \binom{n}{i} \left(-\frac{1}{a_n}\right)^{n-1-i} \sum_{k=0}^{n-1-i} \binom{n-i}{k} (m'+1)^{n-i-k} B_k \\ &\quad - \frac{1}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-3} \binom{n-1}{i} \left(-\frac{1}{a_n}\right)^{n-2-i} \sum_{k=0}^{n-2-i} \binom{n-1-i}{k} (m'+1)^{n-1-i-k} B_k \\ &\quad + \sum_{l=1}^{n-3} \frac{1}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-3-l} \binom{n-1-l}{i} \left(-\frac{1}{a_n}\right)^{n-2-l-i} \\ &\quad \times \sum_{k=0}^{n-2-l-i} \binom{n-1-l-i}{k} (m'+1)^{n-1-l-i-k} B_k + m' Y_{n-1} \end{aligned} \tag{4.2}$$

where

$$Y_{n-1} = \left[ A_0^{n-1} - \frac{n-1}{2} a_{n-1} A_1^{n-2} + \sum_{l=1}^{n-3} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \right]. \tag{4.3}$$



**Lemma 4.** Let  $g(m') = na_n^{n-1}G(m')$ . Then,

$$\begin{aligned}
 g(a_n - \beta - m) &= na_n^{n-1} \sum_{h=m}^{a_n - \beta - 1} Y_{(n-1)}(a_n - \beta - h) \\
 &= A_0^{n-1} \left\{ - \sum_{s=0}^n (-1)^{s-1} B_s \binom{n}{s} a_n^{n-s} + (-1)^{n-1} B_n [1 - \beta - m] \right\} \\
 &\quad - \frac{n}{2} a_{n-1} A_1^{n-2} \left\{ -(n-1) a_n^{n-1} - \sum_{s=0}^{n-1} (-1)^{s-1} B_s \binom{n-1}{s} a_n^{n-s} \right. \\
 &\quad \left. + (-1)^{n-2} a_n B_{n-1} [1 - \beta - m] \right\} \\
 &\quad + \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_1^{n-2} \left\{ -(n-1-l) a_n^{n-1} \right. \\
 &\quad \left. - \sum_{s=0}^{n-1-l} (-1)^{s-l} B_s \binom{n-1-l}{s} a_n^{n-s} + (-1)^{n-2-l} a_n^{l+1} B_{n-l} [1 - \beta - m] \right\}.
 \end{aligned}$$

**Lemma 5.** Let

$$\Delta_0(a_n - \beta - m) = a_n^{n-1} Y_n - g(a_n - \beta - m). \tag{4.4}$$

Then,

$$\Delta_0(a_n - \beta - m) = \sum_{i=n}^{2n-2} T_i + T_{n-1}(m) + \Phi(m, \beta) \tag{4.5}$$

where  $T_i, n \leq i \leq 2n - 2$  are polynomials of  $a_1, a_2, \dots, a_n$  with coefficients that do not depend on  $\beta$  or  $m$ . Each term in  $T_i$  has degree of  $i$ . The expressions of  $T_i$  are

$$\begin{aligned}
 T_{2n-2} &= \frac{n}{2} A_0^{n-1} a_n^{n-1} + \frac{n}{2} a_{n-1} a_n^n A_1^{n-2} - \frac{n}{2} A_1^{n-1} a_n^n, \\
 T_{2n-3} &= -\frac{n(n-1)}{4} a_{n-1} a_n^{n-1} A_1^{n-2} + \frac{s(n, n-2)}{\binom{n-1}{1}} A_1^{n-1} a_n^{n-1} \\
 &\quad - \binom{n}{2} B_2 A_0^{n-1} a_n^{n-2} - \frac{n}{n-2} \frac{s(n-1, n-3)}{\binom{n-2}{1}} A_1^{n-2} a_n^n, \\
 T_i &= \sum_{i=n}^{2n-4} \frac{s(n, i - (n-1))}{\binom{n-1}{2n-2-i}} a_n^{n-1} A_{2n-2-i}^{n-1} + \sum_{i=n}^{2n-4} (-1)^i \binom{n}{2n-1-i} B_{2n-1-i} A_0^{n-1} a_n^{i-(n-1)} \\
 &\quad + \sum_{i=n}^{2n-4} \frac{n}{2} (-1)^i \binom{n-1}{2n-2-i} B_{2n-2-i} A_1^{n-2} a_{n-1} a_n^{i-(n-2)}
 \end{aligned}$$

$$\begin{aligned}
 & - \sum_{i=n}^{2n-4} \frac{n}{i-n+1} \frac{s(n-1, i-n)}{\binom{n-2}{2n-2-i}} A_{2n-2-i}^{n-2} a_n^n \\
 & + \sum_{i=n}^{2n-4} \frac{n}{2} \frac{s(n-1, i-n+1)}{\binom{n-2}{2n-3-i}} A_{2n-3-i}^{n-2} a_n^{n-1} + \sum_{i=n}^{2n-4} (-1)^i \sum_{s=1}^{2n-4-i} \frac{(-1)^{1+s} n}{n-1-s} \binom{n-1-s}{2n-2-i-s} \\
 & \times \frac{s(n-1, n-2-s)}{\binom{n-2}{s}} B_{2n-2-i-s} A_s^{n-2} a_n^{i+s-(n-2)}.
 \end{aligned}$$

$T_{n-1}(m)$  is a polynomial of  $a_1, a_2, \dots, a_n$  with coefficients depending only on  $n$  and  $m$ . Each term in  $T_{n-1}(m)$  has degree of  $n-1$ . The expression of  $T_{n-1}(m)$  is

$$\begin{aligned}
 T_{n-1}(m) &= (-1)^{n-2} \{B_n[1-m] - B_n\} A_0^{n-1} + (-1)^{n-2} \frac{n}{2} \{B_{n-1}[1-m] - B_{n-1}\} A_1^{n-2} a_{n-1} a_n \\
 & + (-1)^{n-2} \sum_{l=1}^{n-3} \frac{n(-1)^{l+1}}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} \{B_{n-1-l}[1-m] - B_{n-1-l}\} A_l^{n-2} a_n^{l+1}.
 \end{aligned}$$

$\Phi(m, \beta)$  is the polynomial of  $a_1, a_2, \dots, a_n$  with coefficients depending on  $m$  and  $\beta$ .  $\Phi(m, \beta) = 0$  if  $\beta = 0$ . Each term in  $\Phi(m, \beta)$  has degree of  $n-1$  and

$$\begin{aligned}
 \Phi(m, \beta) &= (-1)^{n-2} A_0^{n-1} \Psi(n, m, \beta) + (-1)^{n-2} \frac{n}{2} A_1^{n-2} a_{n-1} a_n \Psi(n-1, m, \beta) \\
 & + (-1)^{n-2} \sum_{l=1}^{n-3} \frac{n(-1)^{l+1}}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} a_n^{l+1} \Psi(n-1-l, m, \beta)
 \end{aligned}$$

where  $\Psi(n, m, \beta) = (-1)^n \sum_{s=0}^{n-1} \binom{n}{s} B_s[m] \beta^{n-s}$ .

### 5. Proof of sharp upper estimate

We have generalized and applied this method to several  $n > 7$  but we take  $n = 7$  here as a concrete example. We know that the  $Y_6$  estimate is true. In order to get the proof for  $n = 7$ , we partition the 7th dimensional tetrahedron. Recall the inequality in (3.2), the partition of the 7th dimensional tetrahedron into 6th dimensional tetrahedron. Using the notation  $k = a_7 - \beta - h$ , where  $\beta = a_7 - [a_7]$  we can then transform the  $k$ th partition:

$$\frac{x_1}{\frac{a_1}{a_7}(\beta+h)} + \frac{x_2}{\frac{a_2}{a_7}(\beta+h)} + \dots + \frac{x_7}{\frac{a_6}{a_7}(\beta+h)} \leq 1 \tag{5.1}$$

where  $h = 0, 1, 2, \dots, a_7 - \beta - 1$ .

Let  $P_6(h)$  be the number of positive integer solutions of (5.1). Then we have

$$P_7 = \sum_{h=0}^{[a_7]-1} P_6(h).$$

We also use the notation  $q_6(a_7 - \beta - h)$  and  $Y_6(a_7 - \beta - h)$  to denote the rough and sharp estimate defined for (5.1). For each  $P_6(h)$ , there are three cases regarding its upper estimate:

- (a)  $P_6(h) = 0$ . Then we do not need to count this partition.

Also if  $P_6(h') = 0$ , then  $P_6(h) = 0$  for all  $h \leq h'$ . Let  $h_0$  be the smallest number such that  $P_6(h_0) > 0$ .

(b)  $P_6(h) > 0$ , and  $\frac{a_6}{a_7}(\beta + h) < 5$ . We know  $\frac{a_6}{a_7}(\beta + h) > 1$ . We apply rough estimate:

$$(6)!P_6(h) \leq q_6(a_7 - \beta - h).$$

(c)  $P_6(h) > 0$ , and  $\frac{a_6}{a_7}(\beta + h) \geq 6$ . Then we can apply the sharp estimate:

$$(6)!P_6(h) \leq Y_6(a_7 - \beta - h).$$

So we have

$$\begin{aligned} 7!P_7 &= 7 \sum_{h=h_0}^{[a_7]-1} (6)!P_6(h) \\ &\leq 7 \sum_{h=h_0}^{m-1} q_6(a_7 - \beta - h) + 7 \sum_{h=m}^{[a_7]-1} Y_6(a_7 - \beta - h) \end{aligned} \tag{5.2}$$

where  $m$  is the smallest integer for which the sharp estimate condition  $\frac{a_6}{a_7}(\beta + m) \geq 5$  is true. In order to show  $7!P_7 \leq Y_7$ , we only need to show that

$$Y_7 \geq 7 \sum_{h=h_0}^{m-1} q_6(a_6 - \beta - h) + 7 \sum_{h=m}^{[a_7]-1} Y_6(a_7 - \beta - h).$$

Now define

$$\Delta = a_7^6 Y_7 - 7a_7^6 \sum_{h=m}^{[a_7]-1} Y_6(a_7 - \beta - h) - 7a_7^6 \sum_{h=h_0}^{m-1} q_6(a_7 - \beta - h). \tag{5.3}$$

Using the definitions given by Lemmas 3 and 4, we have

$$\begin{aligned} \Delta &= a_7^6 Y_7 - g(a_7 - \beta - m) - 7a_7^6 \sum_{h=h_0}^{m-1} q_6(a_7 - \beta - h) \\ &= \Delta_0(a_7 - \beta - m) - 7a_7^6 \sum_{h=h_0}^{m-1} q_6(a_7 - \beta - h) \end{aligned} \tag{5.4}$$

where the expression for  $\Delta_0(a_7 - \beta - m)$  is given in Lemma 5. For example,

$$\Delta_0(a_7 - \beta - m) = \sum_{i=7}^{12} T_i + T_6(m) + \Phi(m, \beta)$$

where

$$\begin{aligned}
 T_{12} &= \frac{7}{2}a_1a_2a_3a_4a_5a_6a_7^6 + \frac{7}{2}(a_1a_2a_4a_5 + a_1a_3a_4a_5 + a_2a_3a_4a_5 + a_1a_2a_3a_5 + a_1a_2a_3a_4)a_6a_7^7 \\
 &\quad - \frac{7}{2}(a_1a_2a_4a_5a_6 + a_1a_3a_4a_5a_6 + a_2a_3a_4a_5a_6 + a_1a_2a_3a_5a_6 + a_1a_2a_3a_4a_6 + a_1a_2a_3a_4a_5)a_7^7, \\
 T_{11} &= -\frac{21}{2}(a_1a_2a_4a_5 + a_1a_3a_4a_5 + a_2a_3a_4a_5 + a_1a_2a_3a_5 + a_1a_2a_3a_4)a_6a_7^6 + \frac{175}{6}(a_1a_2a_4a_5a_6 \\
 &\quad + a_1a_3a_4a_5a_6 + a_2a_3a_4a_5a_6 + a_1a_2a_3a_5a_6 + a_1a_2a_3a_4a_6 + a_1a_2a_3a_4a_5)a_7^6 \\
 &\quad - \frac{7}{2}a_1a_2a_3a_4a_5a_6a_7^5 - \frac{119}{5}(a_1a_2a_4a_5 + a_1a_3a_4a_5 + a_2a_3a_4a_5 + a_1a_2a_3a_5 + a_1a_2a_3a_4)a_7^7, \\
 &\quad \vdots
 \end{aligned}$$

Notice that  $\Delta$  is a polynomial of  $a_1, a_2, \dots, a_7, \beta$ . Now we must show that  $\Delta \geq 0$  for  $a_1 \geq a_2 \geq \dots \geq a_7 \geq 7$  and the equality holds when  $a_1 = a_2 = \dots = \text{integer}$ . Note that  $P_6(h) = 0$  means  $P_6(1) = P_6(2) = \dots = P_6(h - 1) = 0$ . If we can determine  $m$  and  $h_0$  in (6.4), then we can use Lemmas 1 and 2 to determine the sign of  $\Delta$ . For this reason, we will study  $\Delta$  in  $6 \times 7$  subcases determined by

$$\begin{aligned}
 a_1 = a_2 = \dots = a_{7-i} \geq a_{7-i+1} \geq \dots \geq a_6, \quad \text{where } 1 \leq i \leq 6, \\
 P_6(5 - j) = 0, \quad P_6(6 - j) > 0, \quad \text{where } 0 \leq j \leq 6.
 \end{aligned}$$

**Case 1.**  $i = 1$  implies that  $a_1 = a_2 = a_3 = a_4 = a_5 = a_6 = a$ .

**Subcase 1.0.**  $P_6(0) = P_6(1) = \dots = P_6(4) = P_6(5) = 0$ .

$$\begin{aligned}
 \Delta_{1,0} &= \sum_{i=7}^{12} T_i + T_6(6) + \Phi(6, \beta) \\
 &= \frac{7}{2}a^6a_7^6 - \frac{7}{2}a^5a_7^7 - \frac{105}{2}a^5a_7^6 + 175a^5a_7^6 - \frac{7}{2}a^6a_7^5 - 119a^4a_7^7 + \frac{175}{4}a^5a_7^5 - 735a^4a_7^6 \\
 &\quad + \frac{1575}{4}a^3a_7^7 + \frac{595}{2}a^4a_7^6 + 1624a^3a_7^6 + \frac{7}{6}a^6a_7^3 - \frac{1918}{3}a^2a_7^7 - \frac{1575}{2}a^3a_7^6 \\
 &\quad - \frac{595}{3}a^4a_7^5 - 1764a^2a_7^6 - \frac{35}{4}a^5a_7^3 + 420aa_7^7 + 959a^2a_7^6 + \frac{1575}{4}a^3a_7^5 + 720aa_7^6 \\
 &\quad - \frac{1}{6}a^6a_7 - 420aa_7^5 + \frac{119}{6}a^4a_7^3 - \frac{959}{3}a^2a_7^5 + 143\,605a^6 - 464\,625a^5a_7 \\
 &\quad + 582\,505a^4a_7^2 - 354\,375a^3a_7^3 + 105\,490a^2a_7^4 - 12\,600aa_7^5 \\
 &\quad + a^6 \left[ \beta^7 + \frac{77}{2}\beta^6 + \frac{1267}{2}\beta^5 + 5775\beta^4 + \frac{188\,993}{6}\beta^3 + 102\,795\beta^2 + \frac{1\,115\,101}{6}\beta \right] \\
 &\quad - \frac{35}{2}a^5a_7 \left[ \beta^6 + 33\beta^5 + \frac{905}{2}\beta^4 + 3300\beta^3 + \frac{26\,999}{2}\beta^2 + 29\,370\beta \right] \\
 &\quad + 119a^4a_7^2 \left[ \beta^5 + \frac{55}{2}\beta^4 + \frac{905}{3}\beta^3 + 1650\beta^2 + \frac{26\,999}{6}\beta \right] \\
 &\quad - \frac{1575}{4}a^3a_7^3 \left[ \beta^4 + 22\beta^3 + 181\beta^2 + 660\beta \right] \\
 &\quad + \frac{1918}{3}a^2a_7^4 \left[ \beta^3 + \frac{33}{2}\beta^2 + \frac{181}{2}\beta \right] - 420aa_7^5 \left[ \beta^2 + 11\beta \right],
 \end{aligned}$$

$$\begin{aligned} \Delta_{1.0}|_{a=a_7} &= a_7^6\beta^7 + 21a_7^6\beta^6 + 175a_7^6\beta^5 + 735a_7^6\beta^4 + 1624a_7^6\beta^3 + 1764a_7^6\beta^2 + 720a_7^6\beta, \\ \frac{\partial \Delta_{1.0}}{\partial a} \Big|_{a=a_7} &= \frac{7}{2}a_7^{11} + 231a_7^{10} - 350a_7^9 + \frac{809}{2}a_7^8 - 45a_7^7 - 261a_7^6 + 3780a_7^5 + 6a_7^5\beta^7 + \frac{287}{2}a_7^5\beta^6 \\ &\quad + \frac{2779}{2}a_7^5\beta^5 + 6965a_7^5\beta^4 + \frac{38\,255}{2}a_7^5\beta^3 + \frac{55\,671}{2}a_7^5\beta^2 + 18\,621a_7^5\beta, \\ &\quad \vdots \\ \frac{\partial^6 \Delta_{1.0}}{\partial a^6} \Big|_{a=a_7} &= 2520a_7^6 - 2520a_7^5 + 840a_7^3 - 120a_7 + 103\,395\,600 + 720\beta^7 + 27\,720\beta^6 \\ &\quad + 483\,840\beta^5 + 4\,641\,840\beta^4 + 22\,679\,160\beta^3 + 9\,669\,156 - \beta^2 + 235\,303\,680\beta. \end{aligned}$$

We regard these as polynomials in  $\beta$  with coefficients in  $a_7$ . Under the condition  $a_7 \geq 7$  and  $0 < \beta < 1$ , we need to check the summation of the coefficients as described in Lemma 1. Let  $c_i, 0 \leq i \leq 7$  be the coefficient of  $\beta^i$ . For  $\frac{\partial \Delta_{1.0}}{\partial a}|_{a=a_7}$  we have

$$\begin{aligned} c_0 &= \frac{7}{2}a_7^{11} + 231a_7^{10} - 350a_7^9 + \frac{809}{2}a_7^8 - 45a_7^7 - 261a_7^6 + 3780a_7^5 \\ &= a_7^7 \left( \frac{7}{2}a_7^4 - 45 \right) + a_7^9(231a_7 - 350) + a_7^6 \left( \frac{809}{2}a_7^2 - 261 \right) + 3780a_7^5 \\ &> 0 \quad \text{when } a_7 \geq 7 \text{ and } 0 < \beta < 1, \\ c_0 + c_1 &= \frac{7}{2}a_7^{11} + 231a_7^{10} - 350a_7^9 + \frac{809}{2}a_7^8 - 45a_7^7 - 261a_7^6 + 3780a_7^5 + 18\,621a_7^5 \\ &> 0 \quad \text{when } a_7 \geq 7 \text{ and } 0 < \beta < 1, \\ &\quad \vdots \end{aligned}$$

It is obvious that  $\sum_{i=0}^k c_i > 0$  for  $0 \leq k \leq 7$ . By Lemma 1, it follows that

$$\frac{\partial \Delta_{1.0}}{\partial a} \Big|_{a=a_7} \geq 0.$$

In a similar way, we can show that  $\frac{\partial^k \Delta_{1.0}}{\partial a^k}|_{a=a_7} \geq 0$  is true for all  $0 \leq k \leq 7$ . Then by Corollary 1, we have  $\Delta = \Delta_{1.0} > 0$  for all  $a \geq a_7 \geq 7$  and  $0 < \beta < 1$ .

Also we can check in this case that for  $\Delta$  to be equal to zero,  $a_i$  must be the same number and this number must be an integer. In other words,  $a_1 = a_2 = a_3 = a_4 = a_5 = a_6 = a_7$  and  $\beta = 0$ . Looking at  $\Delta_{1.0}$ , equality for the estimate occurs only at  $\Delta_{1.0}|_{a=a_7}$ , when  $\beta = 0$ .

**Subcase 1.1.**  $P_6(0) = P_6(1) = \dots = P_6(4) = 0, P_6(5) > 0$ .

$\frac{a_6}{a_7}(\beta + h) \geq 5$  for all  $h \geq 5$  so we can apply sharp estimate to  $P_6(h)$ . So  $m = 5$  and  $h_0 = 5$ . Since  $P_6(5) > 0$ , we have

$$\frac{1}{\frac{a_1}{a_7}(\beta + (5))} + \frac{1}{\frac{a_2}{a_7}(\beta + (5))} + \dots + \frac{1}{\frac{a_6}{a_7}(\beta + (5))} \leq 1.$$

This means that  $\frac{a}{a_7}(\beta + (5)) \geq 6$ . We have the minimum value for  $a$ :

$$a \geq a_0 := \frac{6}{\beta + 5} a_6.$$

As stated,  $a_0 > a_6$ . Since  $\Delta_{1,1}$  does not have value for  $a \in [a_7, a_0)$ , we extend the definition of  $\Delta_{1,1}$  to interval  $[a_7, a_0]$  by assigning  $\Delta_{1,1}(a, a_7, \beta) = \Delta_{1,1}(a_0, a_7, \beta)$ , for  $a \in [a_7, a_0)$ .

So we can check the derivative of  $\Delta_{1,1}$  at  $a = a_0$  instead of  $a = a_7$ . For  $k = 0, 1, 2, \dots, 6$ , we need to verify that  $\frac{\partial^k \Delta_{1,1}}{\partial a^k} \Big|_{a=a_0} \geq 0$  for  $a_7 \geq 7$  and  $0 < \beta < 1$

$$\Delta_{1,1} = \sum_{i=7}^{12} T_i + T_6(5) + \Phi(5, \beta).$$

We can show that  $\frac{\partial^k \Delta_{1,1}}{\partial a^k} \Big|_{a=a_0} \geq 0$  is true for all  $0 \leq k \leq 7$ . Then by Corollary 1, we have  $\Delta_{1,1} > 0$  for all  $a \geq a_7 \geq 7$  and  $0 < \beta < 1$ . Again, as in the rest of the cases from here on, we can check that for  $\Delta$  to be equal to zero,  $a_1 = a_2 = \dots = a_7$  and  $\beta = 0$ .

**Subcase 1.j.**  $P_6(0) = P_6(1) = \dots = P_6(5 - j) = 0, P_6(6 - j) > 0$ .

In this case,  $m = 5$  and  $h_0 = 6 - j$ . Then

$$\Delta = \Delta_0(a_7 - \beta - 5) - 7a_7^6 \sum_{h=6-j}^4 q_6(a_7 - \beta - h).$$

Let  $\Delta_{1,j} = \Delta|_{a_1=a_2=\dots=a_6=a}$ . Note that  $\Delta_{1,j}$  is the polynomial of  $a, a_7, \beta$ . From  $P_6(6 - j) > 0$ ,

$$a \geq a_0 := \begin{cases} a_7 & \text{for } j = 0, \\ \frac{6}{\beta+5} & \text{for } j = 1, \\ \frac{6}{7-j} & \text{for } j \geq 2. \end{cases}$$

We extend  $\Delta$  and use the same method as in Subcase 1.1 to check the derivatives of  $\Delta_{1,1}$  at  $a = a_0$ . Lemmas 1, 2 and our computer program are then applied.

**Case i** implies that  $a_1 = a_2 = \dots = a_{n-i} = a$ .

The other 6 cases, where  $P_6(0) = P_6(1) = \dots = P_6(5 - j) = 0, P_6(6 - j) > 0$  are verified in a similar manner using our computer program along with Lemmas 1 and 2. As in Case 1, recall  $\Delta$  as given in (5.4). In order to apply Lemma 2, we define

$$\Delta_{i,j} = \Delta|_{a_1=a_2=\dots=a_{7-i}=a},$$

$\Delta_{i,j}$  is a polynomial in  $a, a_{7-i+1}, \dots, a_7, \beta$ . For each case  $i$ , we have already shown in case  $i - 1$

$$\Delta_{i-1,j} \geq 0 \quad \text{for } a \geq a_{7-i+2} \geq \dots \geq a_7 \geq 7 \text{ and } 0 < \beta < 1.$$

So,  $\Delta_{i,j}|_{a=a_{7-i-1}} \geq 0$ .

We are left to check for  $0 \leq s \leq 7 - i$  and  $1 < k \leq i - 1$  that

$$\frac{\partial^k}{\partial a_{i_7-i+1} \partial a_{i_7-i+2} \dots \partial a_{i_7-i+k}} \left( \frac{\partial^s \Delta_{i,j}}{\partial a^s} \right) \Big|_{a=a_{7-i+1}=\dots=a_7} \geq 0. \quad \square$$

**6. Results and discussion**

We have proved the new conjecture for  $n = 7$ . The sharp estimate analysis in our paper can be extended to the general  $n$ . We also generalized the computer program we developed for our proof to verify the sign of  $\Delta$  for an arbitrary input of  $n$ . As with the  $n = 7$  case, we have recently succeeded in proving the conjecture for  $n = 8$  and  $n = 9$ . However, for  $n = 10$  we observed that the sign of  $\Delta$  is not positive, indicating that the conjecture fails to be true in the case of  $n = 10$ . In order for our estimate to be applied to  $n = 10$ , we must have  $a_{10} > 11$  instead of  $a_{10} > 10$ . It is possible that the only way this particular estimate can be applied to the general  $n$  is if we have  $a_n > \alpha$ , where  $\alpha$  is a function of  $n$ . Unfortunately, this approach makes induction very difficult. A way around this problem is to change the estimate again and make all terms of the estimate larger. In this paper, we only modified the second term. There is still room to make our estimate even larger and have it remain a sharp estimate. With this in mind we came up with (6.1) and hope to find a general proof.

**Sharp Estimate Conjecture.** Let  $P_n =$  number of element of set  $\{(x_1, x_2, \dots, x_n) \in \mathbf{Z}_+^n; \frac{x_1}{a_1} + \frac{x_2}{a_2} + \dots + \frac{x_n}{a_n} \leq 1\}$ . Let  $n \geq 3$ . We define  $A_k^n = a_1 a_2 \dots a_{n-k}$  for  $k$  is even or zero,  $A_k^n = a_{k+1} a_{k+2} \dots a_n$  for  $k$  is odd. If  $a_1 \geq a_2 \geq \dots \geq a_n \geq n - 1$ , then

$$n!P_n \leq Y_n := \sum_{g=0}^{n-1} s(n, n - g) A_g^n. \tag{6.1}$$

Equality holds if and only if  $a_1 = a_2 = \dots = a_n =$  integer.

**Appendix A**

Although the proofs of Lemmas 3, 4, and 5 are similar to proofs of lemmas given in [24], the computations are not trivial.

**Proof of Lemma 3.** Plugging in the expression of  $Y_{n-1}(k)$ , we have

$$\begin{aligned} G(m') &= A_0^{n-1} \sum_{k=1}^{m'} \left(1 - \frac{k}{a_n}\right)^{n-1} - \frac{n-1}{2} a_{n-1} A_1^{n-2} \sum_{k=1}^{m'} \left(1 - \frac{k}{a_n}\right)^{n-2} \\ &\quad + \sum_{l=1}^{n-3} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{k=1}^{m'} \left(1 - \frac{k}{a_n}\right)^{n-2-l}. \end{aligned}$$

Applying the binomial theorem,  $(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$ ,

$$\begin{aligned} G(m') &= A_0^{n-1} \sum_{k=1}^{m'} \sum_{i=0}^{n-1} \binom{n-1}{i} \left(-\frac{k}{a_n}\right)^{n-1-i} \\ &\quad - \frac{n-1}{2} a_{n-1} A_1^{n-2} \sum_{k=1}^{m'} \sum_{i=0}^{n-2} \binom{n-2}{i} \left(-\frac{k}{a_n}\right)^{n-2-i} \\ &\quad + \sum_{l=1}^{n-3} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{k=1}^{m'} \sum_{i=0}^{n-2-l} \binom{n-2-l}{i} \left(-\frac{k}{a_n}\right)^{n-2-l-i} \end{aligned}$$

$$\begin{aligned}
 &= A_0^{n-1} \sum_{i=0}^{n-1} \binom{n-1}{i} \left(-\frac{1}{a_n}\right)^{n-1-i} \sum_{k=1}^{m'} k^{n-1-i} \\
 &\quad - \frac{n-1}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-2} \binom{n-2}{i} \left(-\frac{1}{a_n}\right)^{n-2-i} \sum_{k=1}^{m'} k^{n-2-i} \\
 &\quad + \sum_{l=1}^{n-3} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-2-l} \binom{n-2-l}{i} \left(-\frac{1}{a_n}\right)^{n-2-l-i} \sum_{k=1}^{m'} k^{n-2-l-i}.
 \end{aligned}$$

Let  $B_k$  be the Bernoulli number. This number has the property

$$\begin{aligned}
 \sum_{k=1}^m k^n &= \frac{1}{n+1} \sum_{k=0}^n \binom{n+1}{k} (m+1)^{n+1-k} B_k, \quad \text{for } n \geq 1, \\
 G(m') &= A_0^{n-1} \sum_{i=0}^{n-2} \binom{n-1}{i} \left(-\frac{1}{a_n}\right)^{n-1-i} \frac{1}{n-i} \sum_{k=0}^{n-1-i} \binom{n-i}{k} (m'+1)^{n-i-k} B_k \\
 &\quad - \frac{n-1}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-3} \binom{n-2}{i} \left(-\frac{1}{a_n}\right)^{n-2-i} \frac{1}{n-1-i} \\
 &\quad \times \sum_{k=0}^{n-2-i} \binom{n-1-i}{k} (m'+1)^{n-1-i-k} B_k \\
 &\quad + \sum_{l=1}^{n-3} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-3-l} \binom{n-2-l}{i} \left(-\frac{1}{a_n}\right)^{n-2-l-i} \frac{1}{n-1-l-i} \\
 &\quad \times \sum_{k=0}^{n-2-l-i} \binom{n-1-l-i}{k} (m'+1)^{n-1-l-i-k} B_k \\
 &\quad + A_0^{n-1} \binom{n-1}{n-1} \left(-\frac{k}{a_n}\right)^{n-1-(n-1)} \sum_{k=1}^{m'} k^{n-1-(n-1)} \\
 &\quad - \frac{n-1}{2} a_{n-1} A_1^{n-2} \binom{n-2}{n-2} \left(-\frac{1}{a_n}\right)^{n-2-(n-2)} \sum_{k=1}^{m'} k^{n-2-(n-2)} \\
 &\quad + \sum_{l=1}^{n-3} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \binom{n-2-l}{n-2-l} \left(-\frac{1}{a_n}\right)^{n-2-l-(n-2-l)} \sum_{k=1}^{m'} k^{n-2-l-(n-2-l)}.
 \end{aligned}$$

Let  $Y_{n-1}$  be defined as in (4.3)

$$\begin{aligned}
 &= A_0^{n-1} \sum_{i=0}^{n-2} \binom{n-1}{i} \left(-\frac{1}{a_n}\right)^{n-1-i} \frac{1}{n-i} \sum_{k=0}^{n-1-i} \binom{n-i}{k} (m'+1)^{n-i-k} B_k \\
 &\quad - \frac{n-1}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-3} \binom{n-2}{i} \left(-\frac{1}{a_n}\right)^{n-2-i} \frac{1}{n-1-i} \sum_{k=0}^{n-2-i} \binom{n-1-i}{k} (m'+1)^{n-1-i-k} B_k
 \end{aligned}$$



$$\begin{aligned}
 &+ \sum_{l=1}^{n-3} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-3-l} \binom{n-2-l}{i} \left(-\frac{1}{a_n}\right)^{n-2-l-i} \frac{1}{n-1-l-i} \\
 &\times \sum_{k=0}^{n-2-l-i} \binom{n-1-l-i}{k} (m'+1)^{n-1-l-i-k} B_k + m' Y_{n-1}.
 \end{aligned}$$

(4.2) follows easily from above.  $\square$

Notice that the maximum number of summation symbols in one term in the expression of  $G(m')$  is three. Let

$$\beta = a_n - [a_n], \tag{A.1}$$

$$k = [a_n] - h = a_n - \beta - h \quad \text{where } h = 0, 1, 2, \dots, [a_n] - 1, \tag{A.2}$$

$$m' = a_n - \beta - m. \tag{A.3}$$

Then

$$G(a_n - \beta - m) = \sum_{h=m}^{a_n - \beta - 1} Y_{n-1}(a_n - \beta - h). \tag{A.4}$$

Using this notation, we can further simplify  $G(m')$  by reducing the number of summation symbols.

$m'$  will be used in the proof of the Main Theorem inductively starting with the largest integer that satisfies the inequality  $a_6(1 - \frac{m'}{a_7}) \geq 5$ .

**Proof of Lemma 4.** We follow from (A.4). Plugging into Lemma 3 we have

$$\begin{aligned}
 g(m') &= na_n^{n-1} G(m') = A_0^{n-1} \sum_{i=0}^{n-2} \binom{n}{i} (-1)^{n-1-i} a_n^i \sum_{k=0}^{n-1-i} \binom{n-i}{k} (m'+1)^{n-i-k} B_k \\
 &- \frac{n}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-3} \binom{n-1}{i} (-1)^{n-2-i} a_n^{1+i} \sum_{k=0}^{n-2-i} \binom{n-1-i}{k} (m'+1)^{n-1-i-k} B_k \\
 &+ \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-3-l} \binom{n-1-l}{i} (-1)^{n-2-l-i} a_n^{1+l+i} \\
 &\times \sum_{k=0}^{n-2-l-i} \binom{n-1-l-i}{k} (m'+1)^{n-1-l-i-k} B_k + na_n^{n-1} m' Y_{n-1}.
 \end{aligned}$$

Replacing  $m'$  by  $a_n - \beta - m$ , we have

$$\begin{aligned}
 g(a_n - \beta - m) &= A_0^{n-1} \sum_{i=0}^{n-2} \binom{n}{i} (-1)^{n-1-i} (a_n)^i \sum_{k=0}^{n-1-i} \binom{n-i}{k} (a_n - \beta - m + 1)^{n-i-k} B_k \\
 &- \frac{n}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-3} \binom{n-1}{i} (-1)^{n-2-i} (a_n)^{1+i}
 \end{aligned}$$

$$\begin{aligned}
 & \times \sum_{k=0}^{n-2-i} \binom{n-1-i}{k} (a_n - \beta - m + 1)^{n-1-i-k} B_k \\
 & + \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-3-l} \binom{n-1-l}{i} (-1)^{n-2-l-i} a_n^{1+l+i} \\
 & \times \sum_{k=0}^{n-2-l-i} \binom{n-1-l-i}{k} (a_n - \beta - m + 1)^{n-1-l-i-k} B_k \\
 & + na_n^{n-1} (a_n - \beta - m) Y_{n-1}.
 \end{aligned}$$

Applying the binomial theorem again,

$$\begin{aligned}
 & = A_0^{n-1} \sum_{i=0}^{n-2} \binom{n}{i} (-1)^{n-1-i} (a_n)^i \sum_{k=0}^{n-1-i} \binom{n-i}{k} B_k \sum_{t=0}^{n-i-k} \binom{n-i-k}{t} a_n^{n-i-k-t} (1 - \beta - m)^t \\
 & - \frac{n}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-3} \binom{n-1}{i} (-1)^{n-2-i} (a_n)^{1+i} \sum_{k=0}^{n-2-i} \binom{n-1-i}{k} B_k \\
 & \times \sum_{t=0}^{n-1-i-k} \binom{n-1-i-k}{t} a_n^{n-1-i-k-t} (1 - \beta - m)^t \\
 & + \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-3-l} \binom{n-1-l}{i} (-1)^{n-2-l-i} (a_n)^{1+l+i} \\
 & \times \sum_{k=0}^{n-2-l-i} \binom{n-1-l-i}{k} B_k \sum_{t=0}^{n-1-l-i-k} \binom{n-1-l-i-k}{t} a_n^{n-1-l-i-k-t} (1 - \beta - m)^t \\
 & + na_n^{n-1} (a_n - \beta - m) Y_{n-1} \\
 & = A_0^{n-1} \sum_{i=0}^{n-2} \binom{n}{i} (-1)^{n-1-i} \sum_{k=0}^{n-1-i} \binom{n-i}{k} B_k \sum_{t=0}^{n-i-k} \binom{n-i-k}{t} a_n^{n-k-t} (1 - \beta - m)^t \\
 & - \frac{n}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-3} \binom{n-1}{i} (-1)^{n-2-i} \sum_{k=0}^{n-2-i} \binom{n-1-i}{k} B_k \\
 & \times \sum_{t=0}^{n-1-i-k} \binom{n-1-i-k}{t} a_n^{n-k-t} (1 - \beta - m)^t \\
 & + \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-3-l} \binom{n-1-l}{i} (-1)^{n-2-l-i} \sum_{k=0}^{n-2-l-i} \binom{n-1-l-i}{k} B_k \\
 & \times \sum_{t=0}^{n-1-l-i-k} \binom{n-1-l-i-k}{t} a_n^{n-k-t} (1 - \beta - m)^t + na_n^{n-1} (a_n - \beta - m) Y_{n-1}.
 \end{aligned}$$

Notice

$$\binom{n}{i} \binom{n-i}{k} \binom{n-i-k}{t} = \binom{n-k-t}{i} \binom{n}{k,t},$$

$$\binom{n-1}{i} \binom{n-1-i}{k} \binom{n-1-i-k}{t} = \binom{n-1-k-t}{i} \binom{n-1}{k,t},$$

$$\binom{n-1-l}{i} \binom{n-1-l-i}{k} \binom{n-1-l-i-k}{t} = \binom{n-1-l-k-t}{i} \binom{n-1-l}{k,t}$$

where

$$\binom{n}{k,t} = \frac{n!}{k!t!(n-k-t)!}$$

and

$$\binom{N}{k} \binom{N-k}{t} = \binom{N}{k,t}.$$

So

$$\begin{aligned} g(a_n - \beta - m) &= A_0^{n-1} \sum_{i=0}^{n-2} (-1)^{n-1-i} \sum_{k=0}^{n-1-i} B_k \sum_{t=0}^{n-i-k} \binom{n-t-k}{i} \binom{n}{k,t} a_n^{n-k-t} (1 - \beta - m)^t \\ &\quad - \frac{n}{2} a_{n-1} A_1^{n-2} \sum_{i=0}^{n-3} (-1)^{n-2-i} \sum_{k=0}^{n-2-i} B_k \sum_{t=0}^{n-1-i-k} \binom{n-1-t-k}{i} \binom{n-1}{k,t} \\ &\quad \times a_n^{n-k-t} (i - \beta - m)^t \\ &\quad + \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \sum_{i=0}^{n-3-l} (-1)^{n-2-l-i} \sum_{k=0}^{n-2-l-i} B_k \\ &\quad \times \sum_{t=0}^{n-1-l-i-k} \binom{n-1-l-k-t}{i} \binom{n-1-l}{k,t} (1 - \beta - m)^t a_n^{n-k-t} \\ &\quad + n a_n^{n-1} (a_n - \beta - m) Y_{n-1}. \end{aligned}$$

Define  $I_1, I_2, I_3$  to be the first three terms respectively in the summation of  $g(a_n - \beta - m)$ . Then we have  $g(a_n - \beta - m) = I_1 + I_2 + I_3 + n a_n^{n-1} (a_n - \beta - m) Y_{n-1}$ . For  $I_1$ , let  $s = k + t$ . Then  $0 \leq s \leq n - i$ . Also define

$$\Phi = (-1)^{n-1-i} B_k \binom{n-s}{i} \binom{n}{k} \binom{n-k}{s-k} (1 - \beta - m)^{s-k} a_n^{n-s}.$$

Then

$$I_1 = A_0^{n-1} \sum_{i=0}^{n-2} \sum_{k=0}^{n-1-i} \sum_{s=k}^{n-i} \Phi(s, i, k).$$

By changing the order of the summations, we have

$$\begin{aligned}
 I_1 &= A_0^{n-1} \left[ \sum_{i=0}^{n-2} \sum_{s=0}^{n-1-i} \sum_{k=0}^s \Phi(s, i, k) + \sum_{i=0}^{n-2} \sum_{k=0}^{n-1-i} \Phi(n-i, i, k) \right] \\
 &= A_0^{n-1} \left[ \sum_{s=1}^{n-1} \sum_{i=0}^{n-1-s} \sum_{k=0}^s \Phi(s, i, k) + \sum_{i=0}^{n-2} \Phi(0, i, 0) + \sum_{i=0}^{n-2} \sum_{k=0}^{n-1-i} \Phi(n-i, i, k) \right] \\
 &= A_0^{n-1} \left[ \sum_{s=2}^{n-1} \sum_{i=0}^{n-1-s} \sum_{k=0}^s \Phi(s, i, k) + \sum_{i=0}^{n-2} \sum_{k=0}^1 \Phi(1, i, k) + \sum_{i=0}^{n-2} \Phi(0, i, 0) + \sum_{s=2}^n \sum_{k=0}^{s-1} \Phi(s, n-s, k) \right] \\
 &= A_0^{n-1} \left[ \sum_{s=2}^{n-1} \sum_{i=0}^{n-1-s} \sum_{k=0}^s \Phi(s, i, k) + \sum_{i=0}^{n-2} \sum_{k=0}^1 \Phi(1, i, k) + \sum_{i=0}^{n-2} \Phi(0, i, 0) \right. \\
 &\quad \left. + \sum_{s=2}^{n-1} \sum_{k=0}^s \Phi(s, n-s, k) + \sum_{k=0}^{n-1} \Phi(n, 0, k) - \sum_{s=2}^{n-1} \Phi(s, n-s, s) \right] \\
 &= A_0^{n-1} \left[ \sum_{s=2}^{n-1} \sum_{i=0}^{n-1-s} \sum_{k=0}^s \Phi(s, i, k) + \sum_{i=0}^{n-2} \sum_{k=0}^1 \Phi(1, i, k) + \sum_{i=0}^{n-2} \Phi(0, i, 0) \right. \\
 &\quad \left. + \sum_{k=0}^n \Phi(n, 0, k) - \sum_{s=2}^n \Phi(s, n-s, s) \right].
 \end{aligned}$$

Here

$$\begin{aligned}
 \sum_{i=0}^{n-2} \Phi(0, i, 0) &= \sum_{i=0}^{n-2} (-1)^{n-1-i} \binom{n}{i} a_n^n \\
 &= (-1) \sum_{i=0}^{n-2} (-1)^{n-i} \binom{n}{i} a_n^n \\
 &= (-1) \left[ \sum_{i=0}^n (-1)^{n-i} \binom{n}{i} - (-1) \binom{n}{n-1} - 1 \right] a_n^n.
 \end{aligned}$$

By the binomial theorem, the first term in the above expression equals 0.

$$\begin{aligned}
 \sum_{i=0}^{n-2} \Phi(0, i, 0) &= (1-n)a_n^n, \\
 \sum_{i=0}^{n-2} \sum_{k=0}^1 \Phi(1, i, k) &= \sum_{i=0}^{n-2} (\Phi(1, i, 0) + \Phi(1, i, 1)) \\
 &= \sum_{i=0}^{n-2} \left[ (-1)^{n-1-i} \binom{n-1}{i} n(1-\beta-m)a_n^{n-1} + (-1)^{n-1-i} \binom{n-1}{i} B_1 n a_n^{n-1} \right] \\
 &= \sum_{i=0}^{n-2} (-1)^{n-1-i} \binom{n-1}{i} n a_n^{n-1} (1-\beta-m+B_1) \\
 &= -n(1-\beta-m+B_1)a_n^{n-1},
 \end{aligned}$$

$$\begin{aligned} \sum_{s=2}^n \Phi(s, n-s, s) &= \sum_{s=2}^n (-1)^{s-1} B_s \binom{n-s}{0} \binom{n}{s} \binom{n-s}{n-s} (1-\beta-m)^0 a_n^{n-s} \\ &= \sum_{s=2}^n (-1)^{s-1} B_s \binom{n}{s} a_n^{n-s}, \\ \sum_{k=0}^n \Phi(n, 0, k) &= \sum_{k=0}^n (-1)^{n-1} B_k \binom{0}{0} \binom{n}{k} \binom{n-k}{n-k} (1-\beta-m)^{n-k} a_n^{n-n} \\ &= (-1)^{n-1} \sum_{k=0}^n B_k \binom{n}{k} (1-\beta-m)^{n-k} \\ &= (-1)^{n-1} B_n [1-\beta-m], \end{aligned}$$

$$\begin{aligned} \sum_{s=2}^{n-1} \sum_{i=0}^{n-s} \sum_{k=0}^s \Phi(s, i, k) &= \sum_{s=2}^{n-1} \sum_{i=0}^{n-s} \sum_{k=0}^s (-1)^{n-1-i} B_k \binom{n-s}{i} \binom{n}{s} \binom{s}{k} (1-\beta-m)^{s-k} a_n^{n-s} \\ &= \sum_{s=2}^{n-1} \binom{n}{s} a_n^{n-s} \sum_{i=0}^{n-s} (-1)^{n-1-i} \binom{n-s}{i} \sum_{k=0}^s B_k \binom{s}{k} (1-\beta-m)^{s-k} \\ &= \sum_{s=2}^{n-1} \binom{n}{s} a_n^{n-s} \sum_{i=0}^{n-s} (-1)^{n-1-i} \binom{n-s}{i} B_s [1-\beta-m] \\ &= 0. \end{aligned}$$

The final equality follows from the binomial theorem, where  $B_s[1-\beta-m]$  is a Bernoulli polynomial.

So  $I_1$  can be rewritten as

$$\begin{aligned} &A_0^{n-1} \left\{ (1-n)a_n^n - n(1-\beta-m+B_1)a_n^{n-1} - \sum_{s=2}^n (-1)^{s-1} B_s \binom{n}{s} a_n^{n-s} + (-1)^{n-1} B_n [1-\beta-m] \right\} \\ &= A_0^{n-1} \left\{ (-n)a_n^n - n(1-\beta-m)a_n^{n-1} - \sum_{s=0}^n (-1)^{s-1} B_s \binom{n}{s} a_n^{n-s} + (-1)^{n-1} B_n [1-\beta-m] \right\}. \end{aligned}$$

$I_2$  and  $I_3$  can be rewritten similarly.

$$\begin{aligned} I_2 &= \left( -\frac{n}{2} a_{n-1} A_1^{n-2} \right) \left[ (1-(n-1))a_n^n - (n-1)(1-\beta-m+B_1)a_n^{n-1} \right. \\ &\quad \left. - \sum_{s=2}^{n-1} (-1)^{s-1} B_s \binom{n-1}{s} a_n^{n-s} - (-1)^{n-2} a_n B_{n-1} [1-\beta-m] \right] \\ &= \left( -\frac{n}{2} a_{n-1} A_1^{n-2} \right) \left[ -(n-1)a_n^n - (n-1)(1-\beta-m)a_n^{n-1} \right. \\ &\quad \left. - \sum_{s=0}^{n-1} (-1)^{s-1} B_s \binom{n-1}{s} a_n^{n-s} - a_n B_{n-1} [1-\beta-m] \right], \end{aligned}$$

$$I_3 = \left( \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_1^{n-2} \right) \left[ -(n-1-l)a_n^n - (n-1-l)(1-\beta-m)a_n^{n-1} \right. \\ \left. - \sum_{s=0}^{n-1-l} (-1)^{s-l} B_s \binom{n-1-l}{s} a_n^{n-s} + (-1)^{n-2-l} a_n^{l+1} (-1)^{n-2-l} B_{n-l} [1-\beta-m] \right].$$

In  $I_1$  there is a term

$$d_1 = A_0^{n-1} [-na_n^{n-1} - n(-\beta-m)a_n^{n-1}] \\ = -na_n^{n-1} A_0^{n-1} (a_n - \beta - m).$$

In  $I_2$  there is a term

$$d_2 = -\frac{n(n-1)}{2} A_1^{n-2} a_{n-1} [-a_n^n - (-\beta-m)a_n^{n-1}] \\ = -na_n^{n-1} \left( \frac{n-1}{2} \right) a_{n-1} A_1^{n-2} (a_n - \beta - m).$$

In  $I_3$  there is a term

$$d_3 = \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} [-(n-1-l)(-\beta-m)a_n^{n-1}] \\ = -na_n^{n-1} \sum_{l=1}^n \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} (a_n - \beta - m), \\ d_1 + d_2 + d_3 = -[na_n^{n-1} m' Y_{n-1} (a_n - \beta - m)].$$

Then Lemma 4 follows.  $\square$

Now we can study the difference between the sharp estimate  $Y_n$  and the sum of the lower dimension sharp estimates  $g(a_n - \beta - m)$ . The next lemma plays a crucial role in our later computation.

**Proof of Lemma 5.** Notice that:

$$a_n^{n-1} Y_n = A_0^n a_n^{n-1} - \frac{n}{2} a_n A_1^{n-1} a_n^{n-1} + \sum_{l=1}^{n-2} \frac{s(n, n-1-l)}{\binom{n-1}{l}} A_l^{n-1} a_n^{n-1}$$

and

$$A_0^n a_n^{n-1} = A_0^{n-1} a_n^n, \quad a_n A_1^{n-1} a_n^{n-1} = a_n^n A_1^{n-1}.$$

Plugging into Lemma 4,

$$\begin{aligned} \Delta_0 &= A_0^{n-1} a_n^n - \frac{n}{2} A_1^{n-1} a_n^n + \sum_{l=1}^{n-2} \frac{s(n, n-1-l)}{\binom{n-1}{l}} A_l^{n-1} a_n^{n-1} \\ &\quad - A_0^{n-1} \left\{ -n a_n^{n-1} - \sum_{s=0}^n (-1)^{s-1} B_s \binom{n}{s} a_n^{n-s} + (-1)^{n-1} B_n [1 - \beta - m] \right\} - \left\{ -\frac{n}{2} a_{n-1} A_1^{n-2} \right\} \\ &\quad \times \left\{ -(n-1) a_n^{n-1} - \sum_{s=0}^{n-1} (-1)^{s-1} B_s \binom{n-1}{s} a_n^{n-s} + a_n (-1)^{n-2} B_{n-1} [1 - \beta - m] \right\} \\ &\quad - \left\{ \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \right\} \left\{ -(n-1-l) a_n^{n-1} \right. \\ &\quad \left. - \sum_{s=0}^{n-1-l} (-1)^{s-1} B_s \binom{n-1-l}{s} a_n^{n-s} + (-1)^{n-2-l} a_n^{l+1} B_{n-l} [1 - \beta - m] \right\}, \end{aligned}$$

$$\begin{aligned} \Delta_0 &= A_0^{n-1} a_n^n - \frac{n}{2} A_1^{n-1} a_n^n + \sum_{l=1}^{n-2} \frac{s(n, n-1-l)}{\binom{n-1}{l}} A_l^{n-1} a_n^{n-1} - A_0^{n-1} n a_n^{n-1} - \left( -a_n^n - \frac{n}{2} a_n^{n-1} \right) \\ &\quad - A_0^{n-1} \left\{ \sum_{s=2}^n (-1)^{s-1} B_s \binom{n}{s} a_n^{n-s} + (-1)^{n-1} B_n [1 - \beta - m] \right\} \\ &\quad + \frac{n}{2} a_{n-1} A_1^{n-2} \left\{ -(n-1) a_n^{n-1} - \left( -a_n^n - \frac{n-1}{2} a_n^{n-1} \right) - \sum_{s=2}^{n-1} (-1)^{s-1} B_s \binom{n-1}{s} a_n^{n-s} \right. \\ &\quad \left. + (-1)^{n-2} a_n B_{n-1-l} [1 - \beta - m] \right\} - \left[ \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \right] \\ &\quad \times \left\{ a_n^n - \frac{1}{2} (n-1-l) a_n^{n-1} - \sum_{s=2}^{n-1-l} (-1)^{s-1} B_s \binom{n-1-l}{s} a_n^{n-s} \right. \\ &\quad \left. + (-1)^{n-2-l} a_n^{l+1} B_{n-l} [1 - \beta - m] \right\}, \end{aligned}$$

$$\begin{aligned} \Delta_0 &= A_0^{n-1} a_n^n (1-1) - \frac{n}{2} A_1^{n-1} a_n^n + \frac{n}{2} A_0^{n-1} a_n^{n-1} - \frac{n(n-1)}{4} a_{n-1} a_n^{n-1} A_1^{n-2} \\ &\quad + \frac{n}{2} a_{n-1} a_n^n A_1^{n-2} + \sum_{l=1}^{n-2} \frac{s(n, n-1-l)}{\binom{n-1}{l}} A_l^{n-1} a_n^{n-1} \\ &\quad - A_0^{n-1} \left\{ -\sum_{s=2}^n (-1)^{s-1} B_s \binom{n}{s} a_n^{n-s} + (-1)^{n-1} B_n [1 - \beta - m] \right\} \\ &\quad + \frac{n}{2} a_{n-1} A_1^{n-2} \left\{ -\sum_{s=2}^{n-1} (-1)^{s-1} B_s \binom{n-1}{s} a_n^{n-s} + (-1)^{n-2} a_n B_{n-1} [1 - \beta - m] \right\} \end{aligned}$$

$$\begin{aligned}
 & - \left[ \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \right] \left\{ a_n^n - \frac{1}{2}(n-1-l)a_n^{n-1} \right. \\
 & \left. - \sum_{s=2}^{n-1-l} (-1)^{s-1} B_s \binom{n-1-l}{s} a_n^{n-s} + (-1)^{n-2-l} a_n^{l+1} B_{n-1-l} [1-\beta-m] \right\}.
 \end{aligned}$$

In the last term, define

$$\begin{aligned}
 \bar{\Delta} &= - \left[ \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \right] - \sum_{s=2}^{n-1-l} (-1)^{s-1} B_s \binom{n-1-l}{s} a_n^{n-s} \\
 &= \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} \sum_{s=2}^{n-1-l} (-1)^{s-1} B_s \binom{n-1-l}{s} A_l^{n-2} a_n^{n-s}.
 \end{aligned}$$

Define the new index  $i = s + l$ . We have

$$\begin{aligned}
 \bar{\Delta} &= \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} \sum_{i=2+l}^{n-1} (-1)^{i-l-1} B_{i-l} \binom{n-1-l}{i-l} A_l^{n-2} a_n^{n-i+l} \\
 &= \sum_{i=n-4}^{n-1} \sum_{l=1}^{i-2} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} (-1)^{i-l-1} B_{i-l} \binom{n-1-l}{i-l} A_l^{n-2} a_n^{n-i+l} \\
 &= \sum_{l=1}^{n-3} (-1)^{n-2-l} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} B_{n-1-l} A_l^{n-2} a_n^{1+l} \\
 &\quad + n \sum_{l=1}^{n-4} (-1)^{n-3-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} B_{n-2-l} A_l^{n-2} a_n^{2+l} \\
 &\quad + \sum_{i=3}^{n-3} (-1)^i \sum_{l=1}^{i-2} \frac{n(-1)^{1+l} s(n-1, n-2-l)}{n-1-i} \frac{1}{\binom{n-2}{l}} B_{i-l} A_l^{n-2} a_n^{n-i+l}.
 \end{aligned}$$

Also notice among the terms of  $\Delta$ ,

$$\begin{aligned}
 & \sum_{l=1}^{n-2} \frac{s(n, n-1-l)}{\binom{n-1}{l}} A_l^{n-1} a_n^{n-1} \\
 &= \frac{s(n, n-2)}{\binom{n-1}{1}} A_1^{n-1} a_n^{n-1} + \frac{s(n, n-3)}{\binom{n-1}{2}} A_2^{n-1} a_n^{n-1} + \frac{s(n, 1)}{\binom{n-1}{n-2}} A_{n-2}^{n-1} a_n^{n-1} \\
 &\quad + \sum_{i=n+1}^{2n-5} \frac{s(n, i-(n-1))}{\binom{n-1}{2n-2-i}} a_n^{n-1} A_{2n-2-i}^{n-1} \\
 &\quad - A_0^{n-1} \left\{ - \sum_{s=2}^n (-1)^{s-1} B_s \binom{n}{s} a_n^{n-s} + (-1)^{n-1} B_n [1-\beta-m] \right\}
 \end{aligned}$$



$$\begin{aligned}
 &= -\binom{n}{2} B_2 A_0^{n-1} a_n^{n-2} + \sum_{i=n+1}^{2n-5} (-1)^i \binom{n}{2n-1-i} B_{2n-1-i} A_0^{n-1} a_n^{i-(n-1)} \\
 &\quad + (-1)^{n-2} \binom{n}{n-1} B_{n-1} A_0^{n-1} a_n + (-1)^{n-2} (-B_n + B_n[1-\beta-m]) A_0^{n-1}, \\
 &\frac{n}{2} a_{n-1} A_1^{n-2} \left\{ -\sum_{s=2}^{n-1} (-1)^{s-1} B_s \binom{n-1}{s} a_n^{n-s} + (-1)^{n-2} a_n B_{n-1} [1-\beta-m] \right\} \\
 &= (-1)^{n-2} \frac{n(n-1)}{2} B_{n-2} A_1^{n-2} a_{n-1} a_n^2 \\
 &\quad + \frac{n}{2} a_{n-1} A_1^{n-2} B_2 \binom{n-1}{2} a_n^{n-2} + (-1)^{n-2} \frac{n}{2} \{ B_{n-1} [1-\beta-m] - B_{n-1} \} A_1^{n-2} a_{n-1} a_n \\
 &\quad + \sum_{i=n+1}^{2n-5} \frac{n}{2} (-1)^i \binom{n-1}{2n-2-i} B_{2n-2-i} A_1^{n-2} a_{n-1} a_n^{i-(n-2)}, \\
 &a_n^n \left[ \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \right] \\
 &= -\frac{n}{n-2} \frac{s(n-1, n-3)}{\binom{n-2}{1}} A_1^{n-2} a_n^n - \frac{n}{n-3} \frac{s(n-1, n-4)}{\binom{n-2}{2}} A_2^{n-2} a_n^n \\
 &\quad - \sum_{i=n+1}^{2n-5} \frac{n}{i-(n-1)} \frac{s(n-1, i-n)}{\binom{n-2}{2n-2-i}} A_{2n-2-i}^{n-2} a_n^n \\
 &\quad - \frac{1}{2} (n-1-l) a_n^{n-1} \left[ \sum_{l=1}^{n-3} \frac{n}{n-1-l} \frac{s(n-1, n-2-l)}{\binom{n-2}{l}} A_l^{n-2} \right] \\
 &= \frac{1}{2} \frac{n}{n-2} s(n-1, n-3) A_1^{n-2} a_n^{n-1} + \sum_{i=n+1}^{2n-5} \frac{n}{2} \frac{s(n-1, i-(n-1))}{\binom{n-2}{2n-3-i}} A_{2n-3-i}^{n-2} a_n^{n-1} \\
 &\quad + \frac{1}{2} \frac{n}{n-2} s(n-1, 1) A_{n-3}^{n-2} a_n^{n-1}.
 \end{aligned}$$

Collecting terms,

$$\begin{aligned}
 \Delta &= \frac{n}{2} A_0^{n-1} a_n^{n-1} + \frac{n}{2} a_{n-1} a_n^n A_1^{n-2} - \frac{n}{2} A_1^{n-1} a_n^n - \frac{n(n-1)}{4} a_{n-1} a_n^{n-1} A_1^{n-2} \\
 &\quad + \frac{s(n, n-2)}{\binom{n-1}{1}} A_1^{n-1} a_n^{n-1} + \frac{s(n, n-3)}{\binom{n-1}{2}} A_2^{n-1} a_n^{n-1} + \frac{s(n, 1)}{\binom{n-1}{n-2}} A_{n-2}^{n-1} a_n^{n-1} \\
 &\quad + \sum_{i=n+1}^{2n-5} \frac{s(n, i-(n-1))}{\binom{n-1}{2n-2-i}} a_n^{n-1} A_{2n-2-i}^{n-1} \\
 &\quad - \binom{n}{2} B_2 A_0^{n-1} a_n^{n-2} + \sum_{i=n+1}^{2n-5} (-1)^i \binom{n}{2n-1-i} B_{2n-1-i} A_0^{n-1} a_n^{i-(n-1)}
 \end{aligned}$$

$$\begin{aligned}
 &+ (-1)^{n-2} \binom{n}{n-1} B_{n-1} A_0^{n-1} a_n + (-1)^{n-2} \{-B_n + B_n[1 - \beta - m] A_0^{n-1}\} \\
 &+ (-1)^{n-2} \frac{n(n-1)}{2} B_{n-2} A_1^{n-2} a_{n-1} a_n^2 \\
 &+ \frac{n}{2} a_{n-1} A_1^{n-2} B_2 \binom{n-1}{2} a_n^{n-2} + (-1)^{n-2} \frac{n}{2} \{B_{n-1}[1 - \beta - m] - B_{n-1}\} A_1^{n-2} a_{n-1} a_n \\
 &+ \sum_{i=n+1}^{2n-5} \frac{n}{2} (-1)^i \binom{n-1}{2n-2-i} B_{2n-2-i} A_1^{n-2} a_{n-1} a_n^{i-(n-2)} \\
 &- \frac{n}{n-2} \frac{s(n-1, n-3)}{\binom{n-2}{1}} A_1^{n-2} a_n^n - \frac{n}{n-3} \frac{s(n-1, n-4)}{\binom{n-2}{2}} A_2^{n-2} a_n^n \\
 &- \sum_{i=n+1}^{2n-5} \frac{n}{i-(n-1)} \frac{s(n-1, i-n)}{\binom{n-2}{2n-2-i}} A_{2n-2-i}^{n-2} a_n^n \\
 &+ \frac{1}{2} \frac{n}{n-2} s(n-1, n-3) A_1^{n-2} a_n^{n-1} + \sum_{i=n+1}^{2n-5} \frac{n}{2} \frac{s(n-1, i-(n-1))}{\binom{n-2}{2n-3-i}} A_{2n-3-i}^{n-2} a_n^{n-1} \\
 &+ \frac{1}{2} \frac{n}{n-2} s(n-1, 1) A_{n-3}^{n-2} a_n^{n-1} + \sum_{l=1}^{n-4} (-1)^{n-1-l} \frac{n}{\binom{n-2}{l}} B_{n-2-l} A_l^{n-2} a_n^{2+l} \\
 &+ (-1)^{n-2} \sum_{l=1}^{n-3} \frac{n(-1)^{l+1} s(n-1, n-2-l)}{n-1-l} \frac{1}{\binom{n-2}{l}} \{B_{n-1-l}[1-m] - B_{n-1-l}\} A_l^{n-2} a_n^{l+1} \\
 &+ \sum_{i=n+1}^{2n-5} \sum_{s=1}^{2n-4-i} (-1)^i \sum_{l=1}^{i-2} \frac{n(-1)^{1+l} s(n-1, n-2-l)}{n-1-i} \frac{1}{\binom{n-2}{l}} B_{i-l} A_l^{n-2} a_n^{n-i+l}.
 \end{aligned}$$

Also notice that

$$\begin{aligned}
 B_n[1 - \beta - m] &= \sum_{k=0}^n \binom{n}{k} (1 - \beta - m)^{n-k} B_k \\
 &= \sum_{k=0}^{n-1} \binom{n}{k} (1 - \beta - m)^{n-k} B_k + B_n \\
 &= \sum_{k=0}^{n-1} \binom{n}{k} B_k \sum_{t=0}^{n-k} \binom{n-k}{t} (1-m)^t (-\beta)^{n-k-t} + B_n \\
 &= \sum_{k=0}^{n-1} \binom{n}{k} B_k (1-m)^{n-k} + B_n + \sum_{k=0}^{n-1} \binom{n}{k} B_k \sum_{t=0}^{n-k-1} \binom{n-k}{t} (1-m)^t (-\beta)^{n-k-t} \\
 &= B_n[1 - m] + \sum_{k=0}^{n-1} \binom{n}{k} B_k \sum_{t=0}^{n-k-1} \binom{n-k}{t} (1-m)^t (-\beta)^{n-k-t}.
 \end{aligned}$$

Let

$$\Psi(n, m, \beta) = \sum_{k=0}^{n-1} \binom{n}{k} B_k \sum_{t=0}^{n-k-1} \binom{n-k}{t} (1-m)^t (-\beta)^{n-k-t}.$$

Define the new index  $s = k + t$ . We have

$$\begin{aligned} \Psi(n, m, \beta) &= \sum_{k=0}^{n-1} \binom{n}{k} B_k \sum_{s=k}^{n-1} \binom{n-k}{s-k} (1-m)^{s-k} (-\beta)^{n-s} \\ &= \sum_{s=0}^{n-1} \sum_{k=0}^s \binom{n}{k} B_k \binom{n-k}{s-k} (1-m)^{s-k} (-\beta)^{n-s} \\ &= \sum_{s=0}^{n-1} (-\beta)^{n-s} \sum_{k=0}^s \binom{n}{s} \binom{s}{k} (1-m)^{s-k} B_k \\ &= \sum_{s=0}^{n-1} (-\beta)^{n-s} \binom{n}{s} \sum_{k=0}^s \binom{s}{k} (1-m)^{s-k} B_k \\ &= \sum_{s=0}^{n-1} \binom{n}{s} (-\beta)^{n-s} B_s [1-m]. \end{aligned}$$

Notice that  $B_n[1-x] = (-1)^n B_n[x]$ . So

$$\Psi(n, m, \beta) = (-1)^n \sum_{s=0}^{n-1} \binom{n}{s} B_s [m] \beta^{n-s}.$$

Similarly,

$$\begin{aligned} B_{n-1}[1-\beta-m] &= B_{n-1}[1-m] + \sum_{k=0}^{n-2} \binom{n-1}{k} B_k \sum_{t=0}^{n-k-2} \binom{n-1-k}{t} (1-m)^t (-\beta)^{n-1-k-t}, \\ B_{n-1-l}[1-\beta-m] &= B_{n-1-l}[1-m] + \sum_{k=0}^{n-2-l} \binom{n-1-l}{k} B_k \sum_{t=0}^{n-k-2-l} \binom{n-1-k-l}{t} \\ &\quad \times (1-m)^t (-\beta)^{n-1-k-t-l}. \end{aligned}$$

Then,

$$\begin{aligned} B_n[1-\beta-m] &= B_n[1-m] + \Psi(n, m, \beta), \\ B_{n-1}[1-\beta-m] &= B_{n-1}[1-m] + \Psi(n-1, m, \beta), \\ B_{n-1-l}[1-\beta-m] &= B_{n-1-l}[1-m] + \Psi(n-1-l, m, \beta). \end{aligned}$$

Using the above results and collecting terms with the same degree, Lemma 5 follows.  $\square$

## References

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